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# Max-plus automata and Tropical identities

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Laure Daviaud  
University of Warwick

Birmingham, 15-11-2017

# Matrices vs machines...

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Matrices over  
 $(\mathbb{N} \cup \{-\infty\}, \max, +)$

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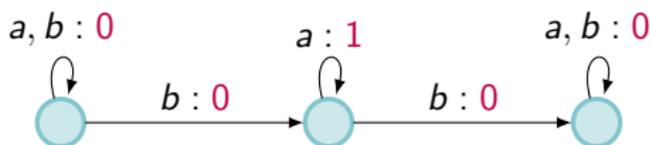
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Max-plus Automata



## A very simple machine: Automata

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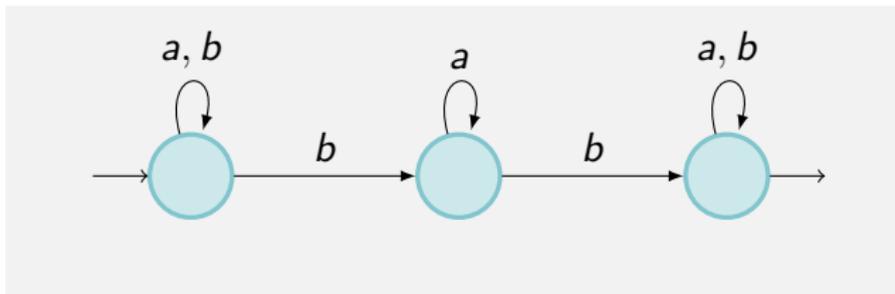
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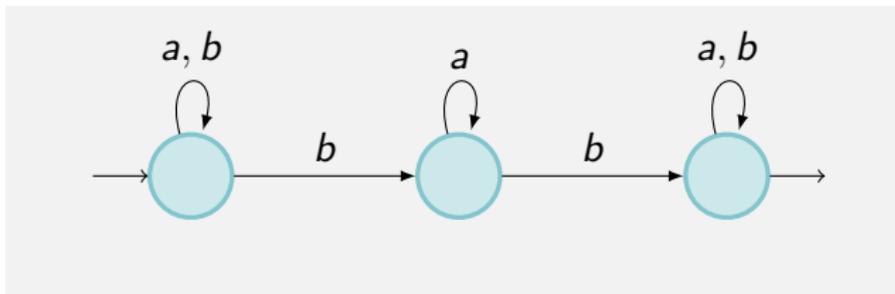


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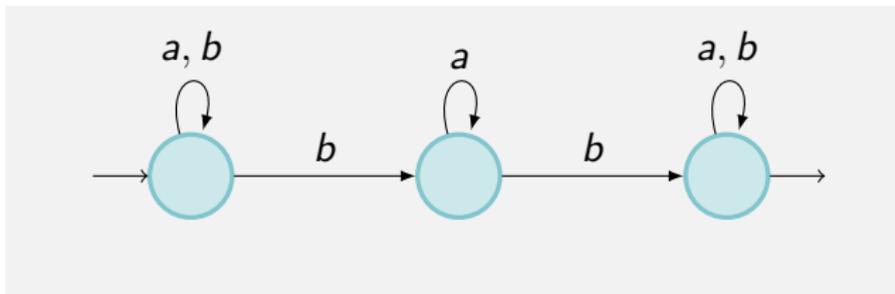
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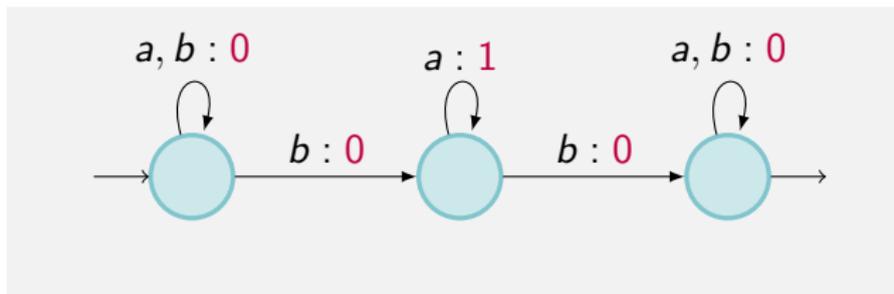
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→ Quantitative extension: Weighted automata [Schützenberger, 61]

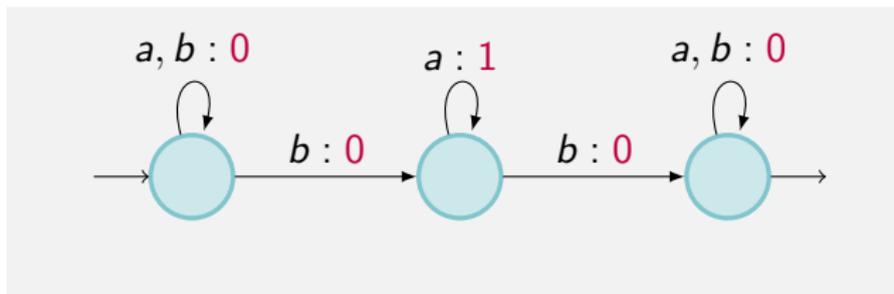
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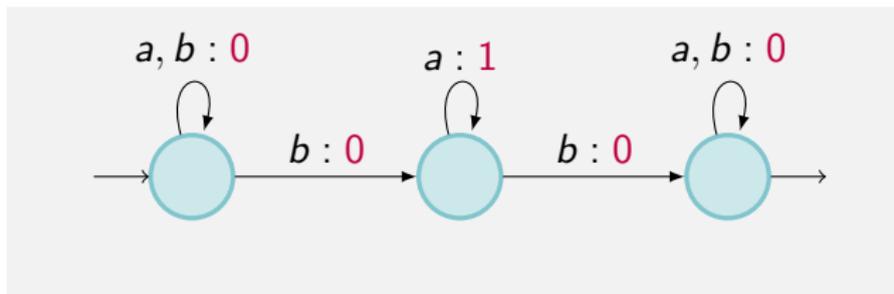
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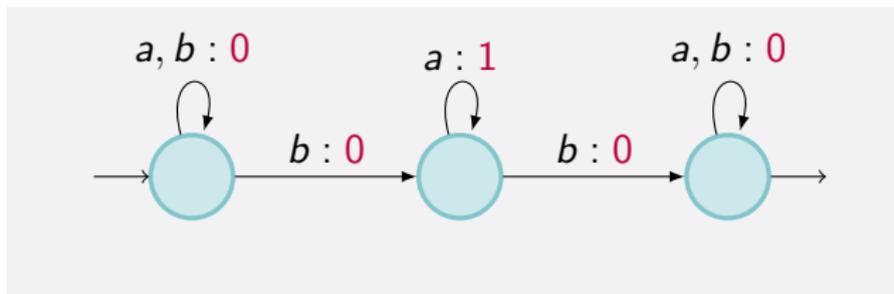
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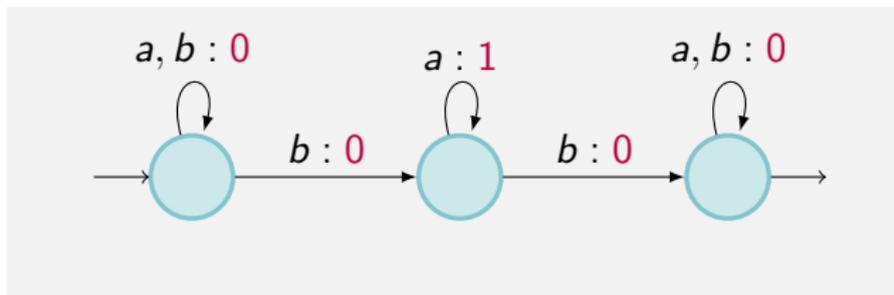
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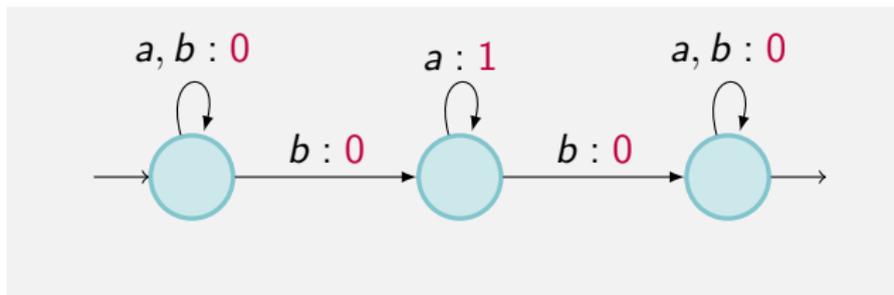
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$$a^{n_0} b a^{n_1} b \dots b a^{n_k+1} \mapsto \max(n_1, \dots, n_k)$$

# Matrix representation

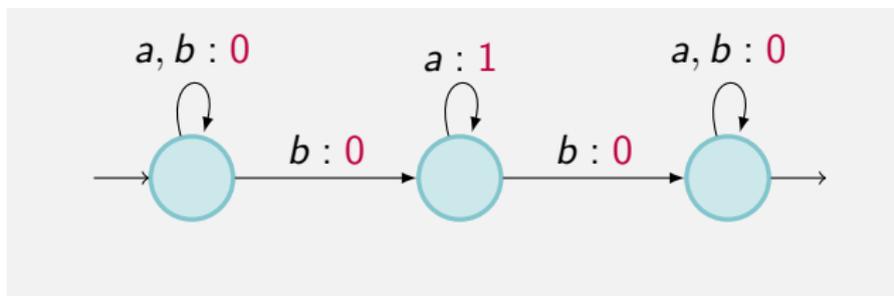


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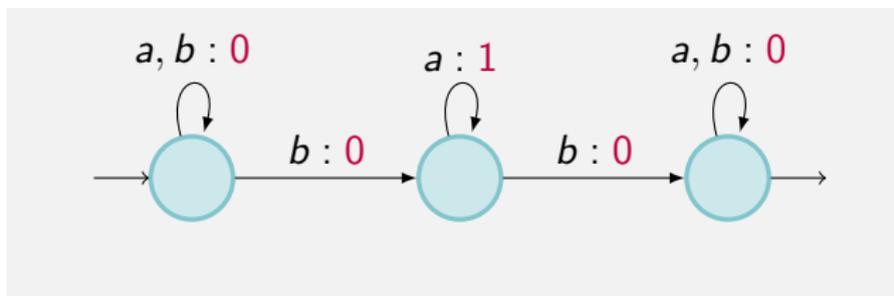
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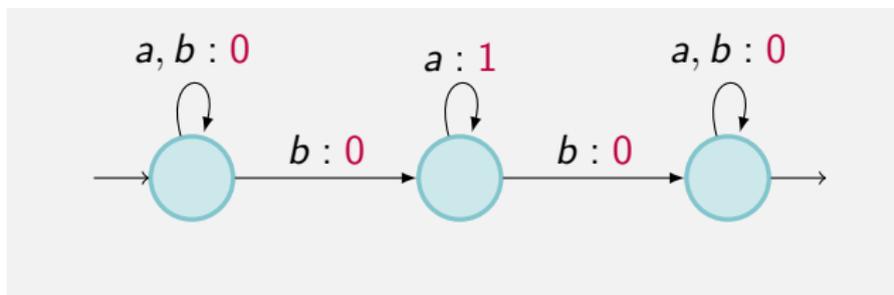
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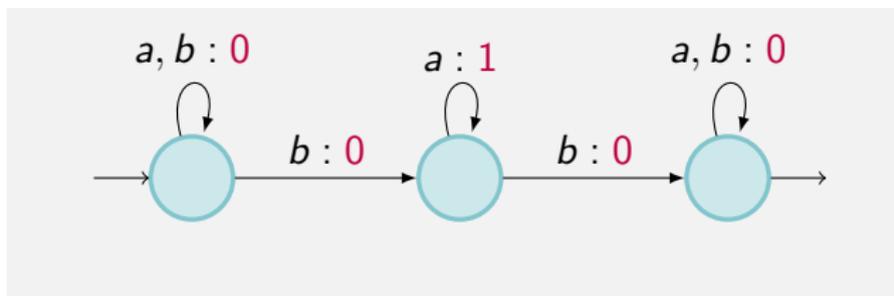


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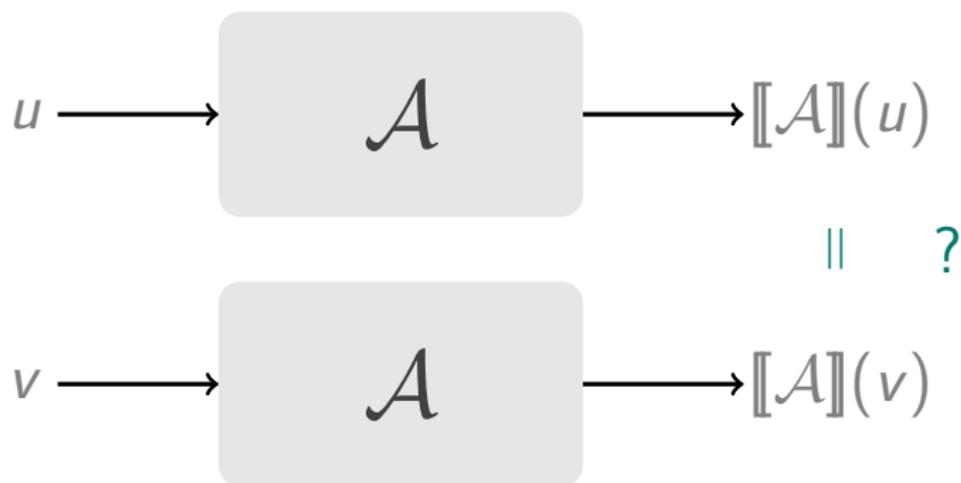
Dimension = Number of states

## Decidability and complexity

- Equivalence [Krob]
- Boundedness [Simon]
- Determinisation [Kirsten, Klimann, Lombardy, Mairesse, Prieur]
- Minimisation
- ...

## A natural and fundamental question:

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Which pairs of inputs can be distinguished  
by a given computational model?

## Distinguishing words

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Semiring  $(\mathbb{N} \cup \{-\infty\}, \max, +)$

$\llbracket \mathcal{A} \rrbracket : A^* \rightarrow \mathbb{N} \cup \{-\infty\}$

$$\llbracket \mathcal{A} \rrbracket : w \mapsto \max_{\rho \text{ accepting path labelled by } w} (\rho_1 + \rho_2 + \cdots + \rho_{|w|})$$

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**3** Minimal size to distinguish two given input words?

→ ???????

Given a positive integer  $n$ ,  
are there  $u \neq v$  such that  
for all max-plus automata  $\mathcal{A}$  with at most  $n$  states:

$$\llbracket \mathcal{A} \rrbracket(u) = \llbracket \mathcal{A} \rrbracket(v) \quad ?$$

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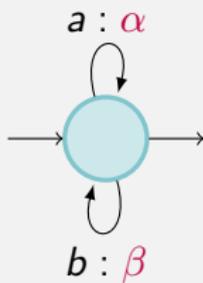
For matrices:

Given a dimension  $n$ , does there exist a non trivial identity for  
the semigroup of square matrices of dimension  $n$  ?

If  $n = 1$

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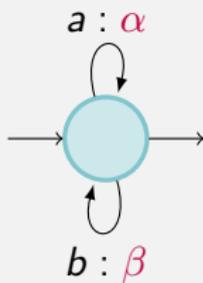
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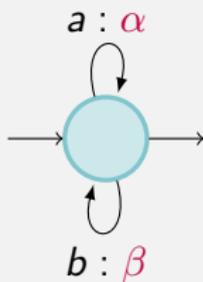


$$w \mapsto \alpha|w|_a + \beta|w|_b$$

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*Max-plus automata with one state can distinguish words with different contents (in particular different lengths), and only these ones.*

If  $n = 2$  or  $n = 3$

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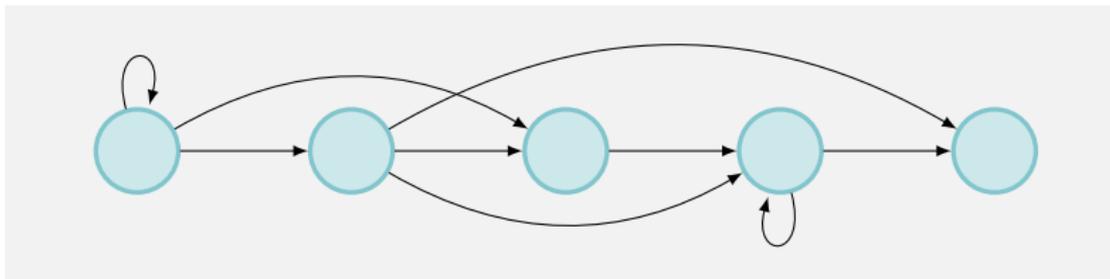
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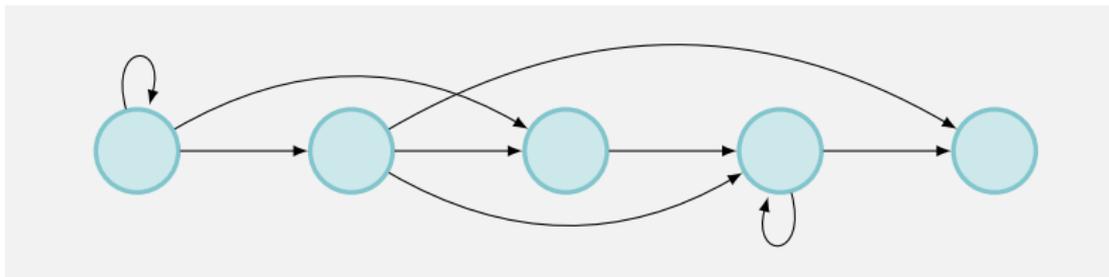
3 states [Shitov] - words of length 1795308

# Triangular automata

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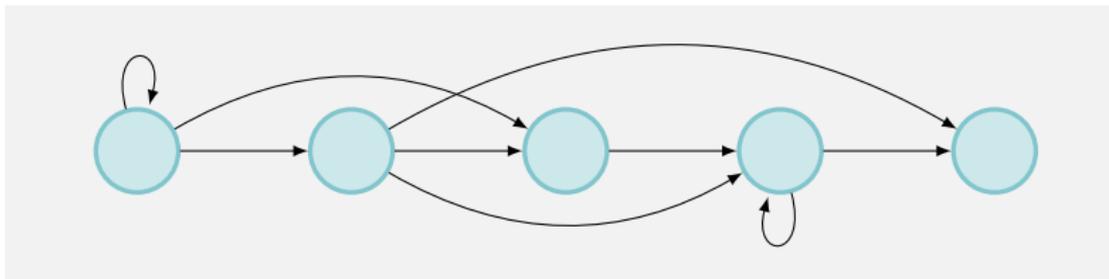


## Theorem [Izhakian]

For all  $n$ , there exist a pair of distinct words  $u \neq v$  such that for all triangular automata  $\mathcal{A}$  with at most  $n$  states,

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# Triangular automata



## Theorem [Izhakian]

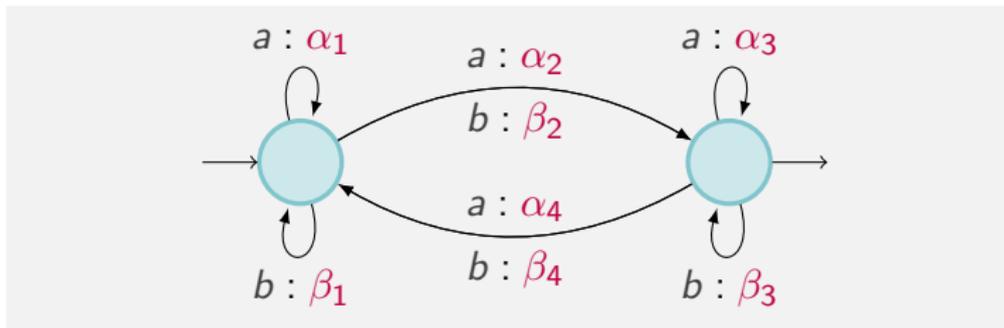
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For  $n = 2$ , exactly the identities for the bicyclic monoid [D., Johnson, Kambites]

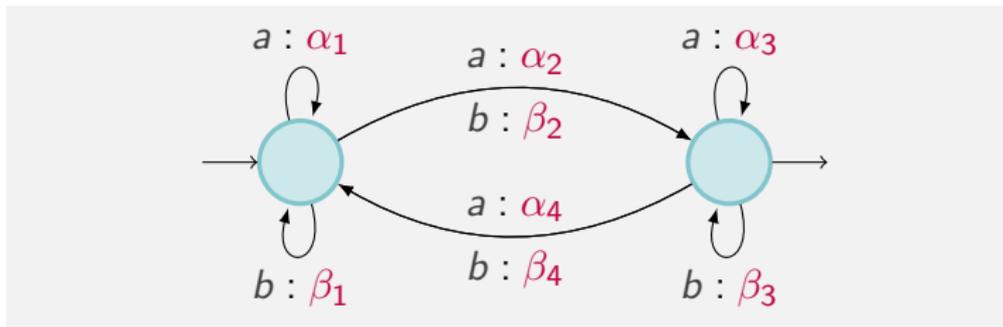
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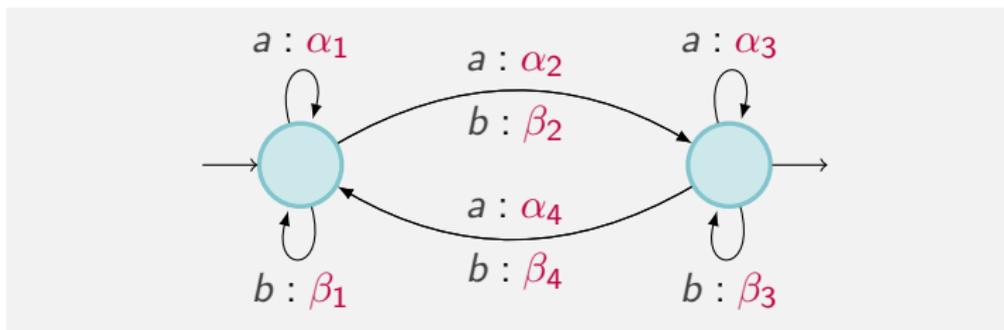
**Theorem [D., Johnson]** - counter-example to a conjecture of Izhakian

There are two pairs of distinct words of minimal length which cannot be distinguished by any max-plus automata with two states:

$$a^2 b^3 a^3 babab^3 a^2 = a^2 b^3 ababa^3 b^3 a^2 \text{ and } ab^3 a^4 baba^2 b^3 a = ab^3 a^2 baba^4 b^3 a$$

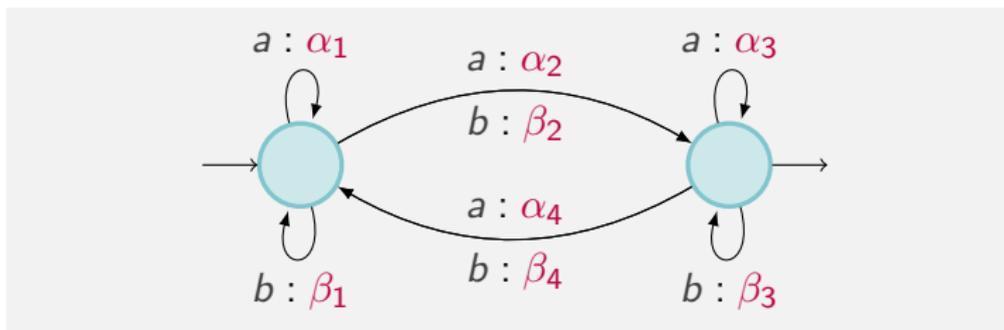
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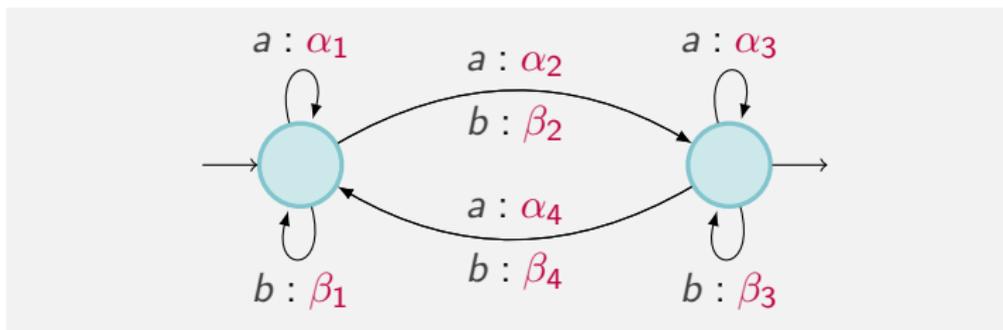
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First attempt: Restrict the class of automata we have to consider

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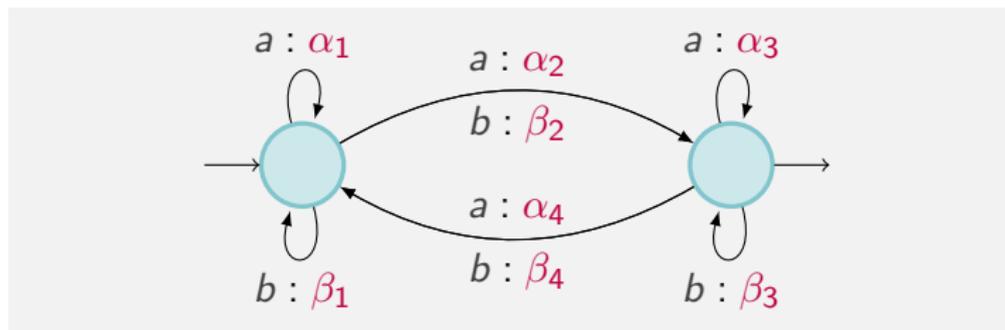


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$$\bullet \mathbb{R} \longrightarrow \mathbb{Q} \longrightarrow \mathbb{Z} \longrightarrow \mathbb{N}$$

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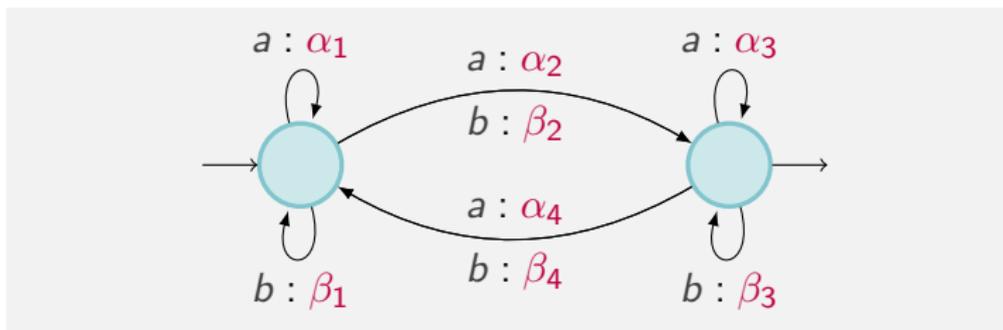


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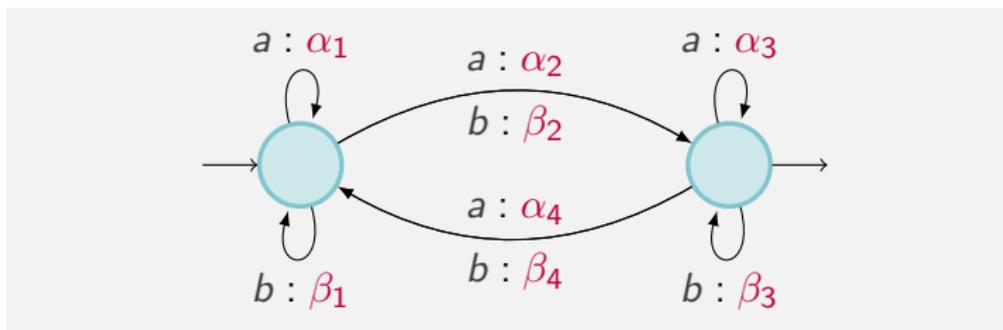


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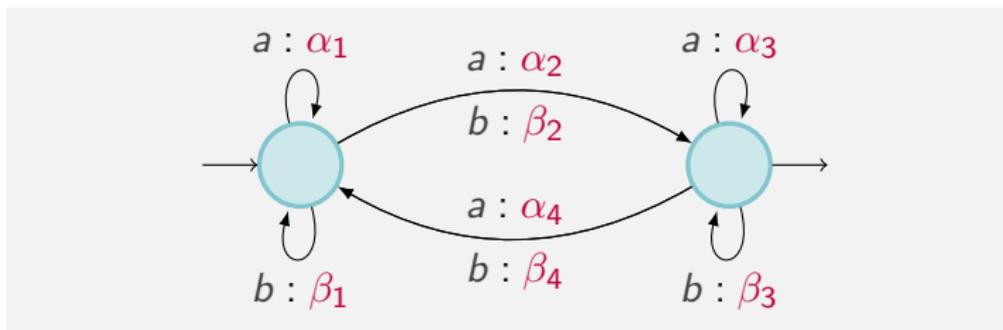


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Second attempt: Give a list of criteria which can be checked

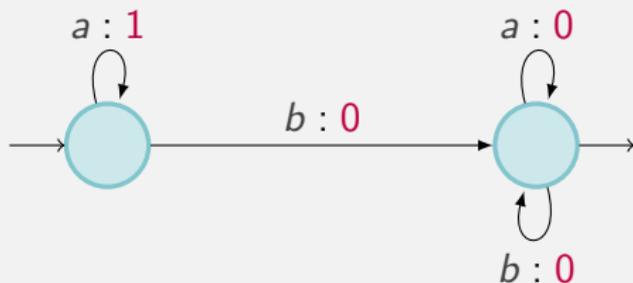
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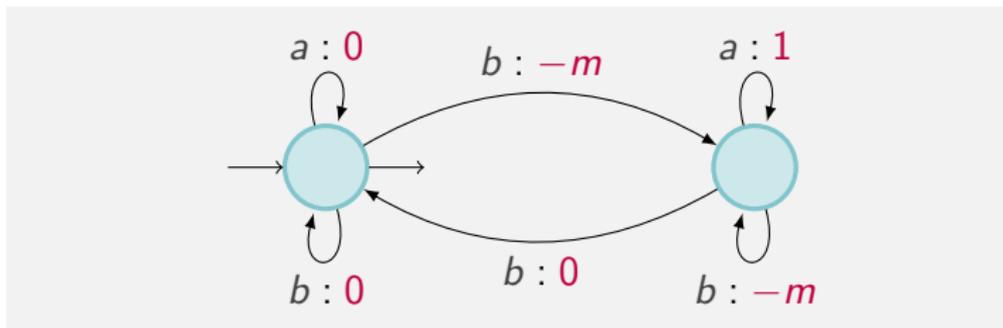
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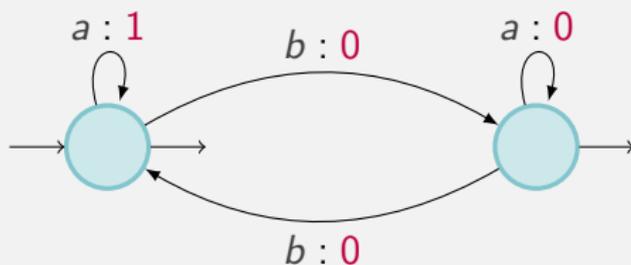


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- First and last blocks
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Number of  $a$ 's after an even number of  $b$ 's



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- First and last blocks
- Block-permutation
- “Counting modulo 2” criteria
- Triangular automata with two states