Protocol



Cerclage Suture Type for an Insufficient Cervix and its effect on Health outcomes (C-STICH)

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ISRCTN15373349 C-STICH protocol

REC: Cambridgeshire and Hertfordshire Version 2.1, Date 04th Aug 2015

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Current Version Number: 2.0

Previous protocol versions and summary of changes

Version 1.1 to 2.1:

A change to the study Sponsor from University of Birmingham to Birmingham Women's NHS FT. This includes removal of necessary University of Birmingham logos and insertion of Birmingham Women's NHS FT logos.

A change to the study Chief Investigator from Professor Khaled Ismail to Mr Philip Toozs-Hobson.

Change of title for consistency from Cerclage Suture Type for an Insufficient Cervix and its effect on Health outcomes Trial: a randomised controlled Trial of monofilament versus braided sutures for insufficient cervix to Cerclage Suture Type for an Insufficient Cervix and its effect on Health outcomes (C-STICH)

To clarify some inclusion and exclusion criteria.

To remove the necessity for reporting non-serious adverse events.

To add hospitals in Ireland as settings.

Minor typographical and grammatical corrections.

ISRCTN 15373349

Funding Body:

National Institute for Health Research Health Technology Assessment Programme (NIHR HTA)

Grant reference number: 13/04/107

Sponsor and Sponsor Roles

The Birmingham Women's NHS Foundation Trust (BWNFT) is the sponsor of C-STICH. Mr Philip Toozs-Hobson is the CI from 24th June 2015.

The BWNFT is responsible for obtaining necessary approvals and for governance. The Trial Management Group is jointly responsible for overseeing good clinical practice and the Principal Investigators are responsible for obtaining informed consent and care of the participants.

Signatures

The Principal investigators and the sponsor have discussed this protocol. The Principal investigators agree to perform the investigation and to abide by this protocol except in case of medical emergency or where departures from it are mutually agreed in writing.

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|---|-----------|------|
| Sponsor | | |
| The Birmingham Women's NHS Foundation Trust | Signature | Date |

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Abbreviations

AE Adverse event

AR Adverse reaction

ASR Annual Safety Report

BCTU Birmingham Clinical Trials Unit at the University of Birmingham

Cl Chief Investigator

DMEC Data Monitoring and Ethics Committee

GCP Good Clinical Practice

GMP Good Manufacturing Practice

GP General Practitioner

ISRCTN International Standard Randomised Controlled Trial Number

MREC Multicentre Research Ethics Committee

ONS Office for National Statistics

PI Principal Investigator – the local lead investigator for the C-STICH Trial

PIS Participant Information Sheet

RR Relative Risk

SAE Serious Adverse Event

SOP Standard Operating Procedure

TMG Trial Management Group
TSC Trial Steering Committee

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Trial summary

Title

Cerclage Suture Type for an Insufficient Cervix and its effect on Health outcomes (C-STICH)

Settings

Obstetric departments in hospitals within the UK and Ireland.

Trial Design

A multi-centre, open, randomised controlled trial of 900 women presenting at gynaecology units and deemed to be at risk of an insufficient cervix, and scheduled to be treated by cervical cerclage.

Aims

1. To examine the effect of using a monofilament suture material compared with a braided suture material on pregnancy loss rate (defined as miscarriage, stillbirth, neonatal death in the first week of life) and neonatal mortality up to one month post-delivery in women deemed to be at risk of an insufficient cervix and treated with cervical cerclage.

2.

- To assess the effect of suture material on other pregnancy and neonatal outcomes
- To explore the variation in effect between McDonald's and Shirodkar's cerclage, especially with reference to bladder dissection
- To explore the variation in effect between the indication for cerclage
- To produce advice and a video clip to illustrate best practice in cerclage stitch insertion and removal

Target Population

Women over 18 years old with a singleton pregnancy and presenting with indications for cervical cerclage.

Health Technologies Assessed

Monofilament or braided suture material

Lay Summary

Every year approximately 3750 women in the UK will have complications where their cervix (the neck of the womb) becomes loose and opens during the early months of pregnancy. This can require a stitch being sewn into the cervix in an attempt to keep it closed. This is often referred to as 'cervical suture' or 'cervical cerclage'. If this procedure is not performed the cervix can open too early and can result in a miscarriage or premature birth. Inserting a stitch into the cervix does not guarantee to keep the cervix closed, but it can sometimes allow the pregnancy to continue for a few more weeks.

The stitches used for this procedure are available in different sizes and materials. Some of the stitch threads are made from a single, smooth fibre (e.g. nylon) while others are composed of many fibres which are woven to form a fine braided or net-like structure. A survey of consultants in the UK has shown most use braided threads when they stitch the cervix merely because it is the traditional material used and because it is thought to offer strength and enhanced support to an otherwise loose cervix. However, this survey also revealed that some surgeons thought that bacteria could grow more easily in the spaces of the braided thread than on the surface of the monofilament line. This could increase the risk of infection which might cause an early labour. It is therefore essential to investigate whether thread-type used for stitching the cervix increases or decreases risk of infection.

The C-STICH study will therefore compare outcomes from the use of either smooth or braided stiches during this procedure. The results of this study can potentially save the lives of more than 300 babies a year in the UK alone who would otherwise be at risk of severe prematurity or miscarriage.

The best way to compare the two methods of treatment is to undertake a clinical trial where the nature of the stitch used is decided randomly. Computer software, specifically designed for this purpose will be used at a specialised unit at the University of Birmingham (Birmingham Clinical Trials Unit).

Eligible pregnant women can opt to be part of the C-STICH study if they are due a planned stitch in their cervix between 12 and 22 weeks into their pregnancy. Apart from the type of thread used, participants in the C-STICH study will receive identical medical treatment to those not taking part in the study. Several pregnancy outcomes will be collected though the central outcome for the study, as decided by the groups of women consulted, will be the risk of losing a baby during pregnancy or within a week of birth. Information will also be collected concerning the number of weeks pregnancy lasted prior to birth; whether the baby was admitted to a Neonatal Unit; the length of stay in the unit and any sign of vaginal or womb infection.

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1. BACKGROUND

1.1. Preterm birth

Pre-term birth (PTB) is one of the major challenges in obstetrics and neonatology globally. According to the office of national statistics, approximately 50,000 babies¹ are born prematurely each year. Approximately 1,500 of them die.

An early birth puts survivors at risk of serious long-term disabilities² and these outcomes pose a significant burden on parents as well as having economic implications on health services. It is estimated that 10% of healthcare resources in developed countries are spent on treating diseases in children resulting from PTB³. Cervical insufficiency (also called cervical incompetence) is one of the important causes of PTB for which cerclage has been one of the established management options^{4, 5, 6}.

1.1.1 The consequences of pre-term birth

The effects of PTB are often very severe and can be devastating for both the child and their parents. In the short term, preterm babies often require special care in the neonatal intensive care unit (NICU). In general, the earlier the preterm infant, the greater the likelihood that life support will be required, meaning a longer stay in the NICU.

Children born prematurely often have underdeveloped lungs meaning breathing problems are common in preterm infants and many will require ventilatory support. These babies may have breathing problems through the first year of life and an increased risk for developing asthma later.

The brain continues to develop after the time of birth. The more prematurely the baby is born the more likely it is to suffer an insult which will cause damage to the brain. This can result in the failure to attain developmental milestones or in physical disability.

In the longer term, babies born prematurely often have long-term difficulties such as:

- o Behavioural and social-emotional problems
- Learning difficulties

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- Increased risk of conditions such as Attention Deficit-Hyperactivity Disorder (ADHD)
- Increased risk for Sudden Infant Death Syndrome (SIDS)

These children are more likely to require early intervention and special education services. Upon reaching maturity, children born pre-term are more likely to suffer chronic diseases such as heart disease, hypertension and diabetes.

With over 50,000 babies being delivered prematurely each year in the UK, in addition to the often devastating effects on both the child and its parents, pre-term birth imposes a significant economic burden on the health care system.

1.2. Insufficient Cervix

The cervix is the narrow tube, usually about 2.5cm long that connects the uterus and vagina. Normally the lumen of the cervix remains slightly patent to allow the exit of menses and access of semen to the uterine cavity.

Following conception and implantation of the embryo, the cervix initially becomes more vascular and softens. The lumen becomes blocked with a mucus secretion from the endocervical glands, and the thick mucus plug acts as a protective barrier to ascending infections. During a normal pregnancy a dense mesh of collagen fibres ensures that the cervix remains firm, long and closed until late in the third trimester. At this point it usually starts to soften, efface and dilate as fluid is taken up by hydrophilic mucopolysaccharides in the interstices between the collagen bundles. As the supravaginal part of the cervix expands, the cervix shortens to prepare for labour and birth.

In some pregnant women the cervix effaces and dilates prematurely. These women may suffer a second trimester miscarriage or pre-term delivery as the uterus is unable to restrain the weight of the baby pressing on the dilated cervix.

1.2.1 Clinical presentation

There are no objective tests that can be done before pregnancy to reliably predict an insufficient or weak cervix. Historically, women were diagnosed clinically with cervical insufficiency after they had a history of second-trimester miscarriages or early preterm births preceded by spontaneous rupture of membranes or painless cervical dilatation with no other known cause.

More recently, regular transvaginal ultrasounds from 14 to 24 weeks of gestation can detect early cervical shortening and funnelling. As with many clinical presentations, cervical insufficiency cannot be viewed as a simple dichotomous diagnosis, but instead presents as a spectrum of risk, influenced by both the anatomy of the cervix and the processes leading to premature cervical effacement and dilatation.

At a later stage, a pelvic examination can be undertaken to see if the foetal membranes have prolapsed into the neck of the cervix.

1.2.2 Incidence

Approximately 3,750 pregnant women are diagnosed with an insufficient cervix in the UK each year¹.

1.2.3 Risk factors

Women considered to be more likely to experience an insufficient uterus during pregnancy are those who have had:

- Previous cervical cerclage
- A history of two or more mid-trimester losses or pre-term deliveries
- Previous cervical surgery / treatment including cervical loop biopsies

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1.3. Current management of cervical insufficiency

Other than cerclage, very few treatments are available for cervical insufficiency and the evidence for their use is largely anecdotal.

1.3.1 Progesterone supplementation

The injection of the progesterone supplement hydroxyprogesterone caproate (Makena) during the second trimester has been suggested to help women who have cervical insufficiency, although the use of this pharmaceutical is contraindicated in women carrying multiple babies.

1.3.2 Cervical pessaries

Some clinicians promote the use of a device which sits inside the vagina and which helps reduce the pressure on the cervix. No reliable evidence is available to determine if the use of these devices is an effective treatment for cervical insufficiency.

1.3.3 Cervical Cerclage

Cervical cerclage is the placement of stitches in the cervix to hold it closed and has been described as "a history-indicated suture performed as a prophylactic measure in asymptomatic women and normally inserted electively at 12–14 weeks of gestation⁸". Perhaps its most common use is in the treatment for an insufficient cervix. During this procedure, which has been in use for over one hundred years, strong sutures are placed in the lumen of the cervix to hold it closed.

Alternatively, women considered at risk can be offered ultrasound surveillance of their cervix. Insertion of a cerclage can be undertaken as a therapeutic measure in cases of cervical length shortening seen on transvaginal ultrasound. Ultrasound-indicated cerclage is performed on asymptomatic women who do not have exposed fetal membranes in their vagina. Sonographic assessment of the cervix is usually performed between 14 and 24 weeks of gestation.

There are two types of cerclage performed vaginally: McDonald's⁶ or Shirodkar's⁴. Depending on the technique used the suture may be removed shortly before the patient is ready to deliver.

- McDonald's cerclage is the most common, and is essentially a purse string stitch used to pinch
 the cervix shut; the cervix stitching involves placing a suture material at the upper part of the
 cervix while the lower part has already started to efface. This cerclage is usually placed
 between 12 weeks and 14 weeks of pregnancy. The stitch is generally removed around the
 37th week of gestation.
- Shirodkar's cerclage is very similar, but the sutures pass through the walls of the cervix so they
 are not exposed. As Shirodkar's cerclage includes a bladder dissection it is technically more
 difficult than McDonald's method, but is thought (though not proven) to reduce the risk of
 infection. The Shirodkar procedure sometimes involves a permanent stitch around the cervix
 which will not be removed and therefore a Caesarean section will be necessary to deliver the
 baby.

3

1.4. The effectiveness for cervical cerclage

1.4.1 The evidence for cervical cerclage

Despite being in use for over one hundred years there is very little evidence drawn from high quality clinical trials. A recent Cochrane review concluded that cerclage reduces the incidence of early delivery but does not significantly reduce miscarriage rate or perinatal mortality.⁹

Figure 1 Results of the Cochrane review and meta-analysis of RCTs of cerclage for preventing pre-term birth.

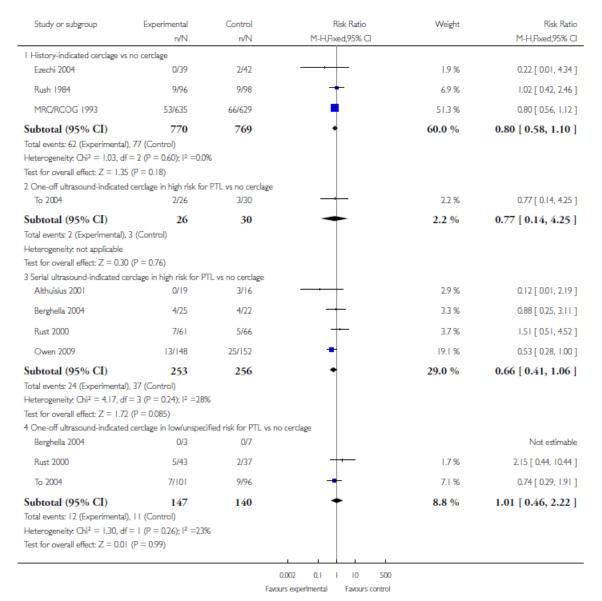
Analysis I.I. Comparison I Cerclage vs no cerclage, Outcome I All perinatal losses.

Review: Cervical stitch (cerclage) for preventing preterm birth in singleton pregnancy

Comparison: I Cerclage vs no cerclage

Outcome: I All perinatal losses

REC: Cambridgeshire and Hertfordshire



Traditionally, clinicians have used Mersilene® tape (a macroporous braided suture) for cervical cerclage because of its perceived strength and ease of removal. However, braided sutures, particularly mesh-like non-absorbable sutures, have been associated with an increased risk of

infection particularly when used in potentially contaminated surgical areas 10. We hypothesise that as braided sutures would have been predominant in the studies reported the Cochrane report they may have unintentionally induced a bias in the conclusions and masked a true benefit of cerclage.

1.4.2 The influence of the suture material in gynaecological surgery

Using the categorisation criteria developed at the UCLA Lichtenstein Hernia Institute¹¹, mesh materials used in surgery are divided into four categories

| Category | Type of mesh | | |
|----------|--|--|--|
| I | Macroporous > 75 μM (Atrium / Prolene) | | |
| II | Microporous < 10 μM (Gore-Tex [®]) | | |
| III | Macroporous with braided filaments (PTFE / Mersilene®) | | |
| IV | Submicronic (Silastic [®]) | | |

Table 1 Types of mesh

Clinically it has been reported that Type II and Type III meshes have a propensity to extrusion and infection, and there are a number of high profile products composed of Type II and Type III meshes which had to be withdrawn from incontinence and prolapse surgery due to a high erosion and infection rate⁶. If used in pelvic floor surgery these tapes tended to extrude into the vagina where they behaved like a wick drawing vaginal secretions up the entire course of the mesh. Microbiologically this resulted in polymicrobial infections with Gram positive and negative bacteria, anaerobes and aerobes leading to severe complications.

The difference in behaviour of meshes was demonstrated in a series of laboratory experiments in rats¹² During these experiments it was observed that the Type II and Type III meshes set up a chronic inflammatory response with the formation of giant cells. This never progressed to a stable state with fibrous deposition as one would see with a Type I mesh. As a consequence of the chronic inflammatory response the mesh soon became encapsulated with very little vascularisation thus limiting even further its ability to withstand infection.

Mersilene® (Type III mesh) has been used in a clinical study looking at repair of vaginal prolapses. In this study the patient underwent a sacrocolpopexy with the mesh being introduced either abdominally or vaginally. Results showed that the group where the tape had been introduced abdominally showed a 3% erosion rate, whilst those women in whom the tape had been introduced vaginally exhibited a 20% erosion rate. This was interpreted to show that as well as the structure of the mesh, the environment it is exposed to also has a role to play¹³.

A series of simple laboratory experiments demonstrated clearly that Mersilene® had very pronounced capillary and fluid absorbing properties which aided the propagation of Staphylococcus (a bacterium usually considered immobile) from an infected chamber to a sterile one. This did not occur with monofilament suture. The hypothesis therefore is that a suture constructed from Mersilene® would magnify these findings 14, 15. Supporting evidence for this was

provided by the Dalkon shield, a contraceptive intrauterine device composed of a braided thread, which was found to cause pelvic infections in a disproportionately large percentage of its users before being withdrawn from the market¹⁶.

Most surgeons began to convert to monofilament sutures at this time¹⁷, and all of the Type II and Type III products are nowadays used rarely in a clinical setting.

The FDA has issued warnings about mesh products, especially Type II and Type III. Complications associated with the mesh insertion in incontinence and pelvic floor surgery, such as extrusion and infection, led to clear recommendations from Royal College of Obstetrics and Gynaecology to issue guidance on when, how and by whom the mesh should be inserted and removed ¹⁷. NICE guidance supports the use of mesh in some surgical procedures provided that the normal arrangements for consent, audit and clinical governance are in place¹⁸. Nonetheless, mesh is considered to be a medical device and so any complications should be reported to Medicines and Healthcare products Regulatory Authority (MHRA).

1.4.3 Use of braided sutures in cerclage

There is a paucity of evidence concerning the use of Mersilene® in the context of cervical cerclage and the nature of the suture used is often determined by the surgeon's personal preference. Some surgeons opt to use a non-braided monofilament sutures for cerclage. Conversely detractors suggest that monofilament sutures are not as strong and can potentially traumatise the cervix at insertion. However, these claims are not substantiated by any scientific or clinical evidence.

With its braided nature Mersilene® is proposed to stimulate a chronic inflammatory response and the suture becomes encapsulated. This means that after the securing knot is cut, the lack of fibroblast infiltration results in the easy withdrawal of the suture from the cervical tissue 14, 15

However, there is some evidence that Mersilene® also has pronounced capillary and absorbent properties and so may act as a wick. This wick may provide an environment where bacteria can grow and a route by which they can migrate and enter the uterus where they may cause a chronic infective state and stimulate early labour. This suspected wicking is prompting many specialists to move towards the adoption of monofilament sutures.

However, some surgeons claim that non-braided sutures are not as strong as braided sutures and increase cervical trauma at insertion. Type I (single strand) material does not set up a chronic immune response, and at removal has been found to be incorporated tightly into its surrounding tissue. That this material has been assimilated can make it extremely difficult to remove without causing significant tearing and damage. Obstetricians and other non-urogynaecology specialists have little experience with meshes or tapes so would not have been aware of the potential for the Mersilene® tape to contribute to premature delivery.

1.4.4 Preterm birth and infection

One hypothesis states that preterm labour results from an increased susceptibility to infection, and it is recognised that both low-grade chronic and acute infections are risk factors for PTB.

Women who suffer from an insufficient cervix may have an anatomical arrangement which increases the risk of an infective process occurring, thus predisposing them to pre-term labour. In

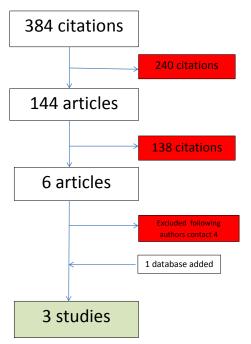
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these women the use of a tape to restore mechanical integrity may inadvertently introduce a route by which an infection stimulates PTB.

1.5. The evidence for effectiveness of the suture material used for cervical cerclage

A comprehensive literature search of MEDLINE, EMBASE, CINHL and ISRCTN from their inception to December 2013 identified no randomised controlled trials comparing the nature of the suture material in relation to planned/elective cerclage. Using search terms of Cerclage, cervix, suture,

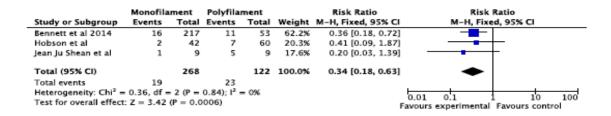


and Mersilene® suture, the search was extended to non-randomised studies (NRS). Figure 2. Initially, only two published studies were identified to which data has subsequently been supplemented with unpublished data from Professor Bennett (personal communication). The outcomes considered was pregnancy loss, which included miscarriage and neonatal death.

The NRS meta-analysis demonstrates that non-braided sutures, compared to braided, were associated with a pregnancy loss rate of 7% compared to 19% respectively (relative risk was 0.34 [95% CI 0.18 to 0.63]). Figure 3.

Figure 2 Identification and selection of studies for meta-analysis

Figure 3 Non-randomised study meta-analysis of pregnancy loss



1.6. The choice of questions to be asked

1.6.1 Rationale

To date, the effectiveness of the cerclage procedure in the prevention of pregnancy loss remains uncertain ^{11, 16}. The lack of clear and widely accepted criteria for inserting a cerclage is a possible reason for the inability of the current literature to demonstrate a clinical benefit of cerclage procedures.

Another potential confounding factor, and the hypothesis on which the C-STICH trial is based, relates to the nature of the suture material used. As they were traditionally used at the time it is not unreasonable to assume that the RCTs that provide the data for the Cochrane review used

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braided sutures to place a cerclage. If these procedures were performed using a monofilament suture material and the difference in foetal survival identified in our retrospective cohort study between monofilament and braided is true, cervical cerclage may be shown to be a significantly effective procedure.

2. STUDY OBJECTIVE

2.1. Primary objective

To examine the effect of using monofilament suture material compared with braided suture material on pregnancy loss rate in women deemed to be at risk of an insufficient cervix and treated with cervical cerclage

2.2. Secondary objectives

- To assess the effect of suture material on other pregnancy and neonatal outcomes
- To explore the variation in effect between McDonald's and Shirodkar's cerclage, especially with reference to bladder dissection
- To explore the variation in effect between the indication for cerclage
- To produce advice and a video clip to illustrate best practice in cerclage stitch insertion and removal

3. TRIAL DESIGN

3.1. Design

C-STICH is a multicentre, open, randomised controlled trial.

3.2. Setting

The C-STICH study will run in at least 32 NHS Obstetric Units in the UK and Ireland. Recruitment will be in antenatal clinics, outpatient departments, and gynaecology wards. Randomisation and insertion of the cerclage will be performed in antenatal clinics, day surgery areas, surgical inpatient settings and delivery suites.

4. STUDY POPULATION

The target population for C-STICH are women attending antenatal clinics or admitted to gynaecology wards in whom the reviewing clinician believes that the placement of a cervical cerclage is the most appropriate method to prevent a miscarriage or pre-term birth.

These women will be invited to take part in the C-STICH trial at the time the decision is made to undertake a cervical cerclage procedure providing that they meet the eligibility criteria below.

4.1. Eligibility Criteria

4.1.1 Inclusion

REC: Cambridgeshire and Hertfordshire

- Singleton pregnancy
- Indication for cervical cerclage for either:

- A history of three or more previous midterm losses or premature births (≤ 28 weeks), OR
- Insertion of cervical sutures in previous pregnancies, OR
- A history of midtrimester loss or premature birth with a shortened (≤ 25 mm) cervix, OR
- Women whom clinicians deem to be at risk of preterm birth either by history or the results of an ultrasound scan

4.1.2 Exclusion

- o Women who have taken part in C-STICH previously
- Women aged less than 18 years old at the time of presentation
- Those with a multiple pregnancy
- Those requiring a rescue cerclage *
- o Women who are unwilling or unable to give informed consent
- Those in whom a cerclage will be placed by any route other than vaginally (e.g. via an abdominal route)
- Immediate need for insertion of a suture**
- Women who have membranes that have ruptured or are surfacing***
- * For study purposes, rescue cerclage is defined as: emergency cerclage where stitches are inserted in women who have had their preterm labours (e.g. uterine contractions, progressive cervical dilatation, bulging membranes) sufficiently halted by tocolysis or other means between 15 and 28 weeks.
- ** Immediate need for insertion of a suture should not be delayed by the trial (thus, if giving information about the trial and waiting for the participant to decide upon whether or not she wants to participate will delay the insertion of an urgently needed suture, then treatment should go ahead and the woman should be excluded from the trial).
- ***Woman with membranes that are ruptured or surfacing should have a rescue cerclage and be excluded from trial participation.

4.2. Identifying potential participants

REC: Cambridgeshire and Hertfordshire

Cerclage tends to be performed between 12 and 22 weeks gestation. Potentially eligible women will be identified in antenatal clinics, in gynaecology wards or in an emergency setting and invited to join the trial at the time the decision is made to undertake a cervical cerclage.

4.3. Approaching potential participants for consent

Potential participants will only be approached by suitably qualified and experienced personnel whose names appear on the delegation log.

4.3.1 Obtaining consent

All women who are referred to secondary care for cervical cerclage should be considered potentially eligible and should be screened prior to their antenatal appointment by the C-STICH research nurse or PTB clinic nurse in each centre. The obstetrician or gynaecologist who will be providing the woman's clinical care and performing the procedure will discuss preventative options and establish eligibility based on history and preferences.

The indication for the cerclage should be discussed before the trial is introduced. Adequate time should be allowed for consideration of participation in the trial before the cerclage procedure is undertaken. There is no pre-agreed specified time to consent. Consent should be informed and voluntary with time for questions and reflection. However, the patient has the right also to make an immediate decision to consent.

Consent to participate in C-STICH will be sought by the obstetrician, but the research nurse for the centre may be involved in the consent discussion. Women will be asked to confirm their consent to participate in the C-STICH trial by initialling the appropriate boxes on the consent form and signing the form in the presence of the person taking consent. A copy should be given to the women; one to be kept in the patient notes, the original should be kept in the local site file and one copy sent to the C-STICH Trial Office.

The trial site will be asked to complete the Baby Outcome form to provide information on neonatal mortality. Where this information is unavailable from the site, it will be sought from the Health and Social Care Information Centre (HSCIC). NHS numbers assigned to the participant's babies will be passed on the HSCIC with a view to obtaining any corresponding death information. The participant will be made aware of this in the C-STICH Participant Information Sheet and their agreement will be recorded on the consent form.

All women approached for study participation should be recorded anonymously on the screening log, available in the investigator site file, which should be passed to the coordinating centre in an anonymised form.

4.3.2 Informing the participant's GP

Following the participant granting consent, her GP should be notified using the study template "Letter to GP".

4.4. Ineligible patients

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If a woman is screened but is not eligible for the trial, be it due to a preference for the use of a particular suture type, a contraindication, a pathological reason, or consent for randomisation is not given, an anonymous record of the case should be kept in the screening log. The screening log will collect initials, age group, ethnic group, and the reason each patient is not eligible to participate in the trial. Women who consent and are subsequently found to be ineligible should also be noted.

The screening log should be kept in the site file and a copy sent to the C-STICH Trial Office on a monthly basis. The members of the trial co-ordination team will be unable to identify women based on the information provided. This screening log information will inform updates to the funder regarding recruitment targets for C-STICH Trial.

4.5. CO-ENROLMENT

Women randomised to the C-STICH trial should be excluded from participation in any trial of investigational medicinal products (IMPs) or procedures for the prevention of second trimester miscarriage or pre-term birth. If the woman does not undergo a cerclage after randomisation, but is still contributing to data collection, any further treatments within trials for prevention of PTB should be noted.

Women already participating in another trial of an IMP or procedure for prevention of second trimester miscarriage or PTB should be excluded from participation in C-STICH.

5. RANDOMISATION

The participant should be randomised just prior to the cervical cerclage procedure, to minimise the number of withdrawals and protocol violations, but allowing sufficient time for the obstetrician to prepare the sutures for the procedure.

5.1. Randomisation

Birmingham Clinical Trials Unit will provide a bespoke web-based randomisation with telephone back-up. Patients are entered and randomised into the trial by logging into secure online webpage available at www.birmingham.ac.uk/C-STICH. Each person eligible to randomise will be provided with a unique username and password. The online randomisation is available 24 hours a day, 7 days a week apart from short periods of scheduled maintenance and when there are occasional network interruptions. Alternatively, investigators can make a Freephone telephone call (Tel - 0800 953 0274) to the randomisation service. This telephone randomisation service is available between 0900 – 1700 hrs Monday to Friday.

Randomisation Forms will be provided to investigators and should be used to collate the necessary information prior to randomisation. All questions and data items on the Randomisation Form will need to be answered before a trial number and allocation can be given. If an essential data item is missing, randomisation will be suspended but can be resumed once the information is available. Only when all eligibility criteria and baseline data items have been provided will a trial number and treatment allocation be given. This will be followed by a confirmatory email sent to the randomising investigator, local Principal Investigator and the research nurse.

A minimisation procedure using a computer-based algorithm will be used to avoid chance imbalances in treatment allocation and the following potentially important variables:

- Indication for the cerclage (history / ultrasound)
- Technique planned (With or without bladder dissection)
- Intention to commence patient on progesterone (yes / no)
- Randomising centre

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To avoid any possibility of the treatment allocation becoming too predictable, we will include a random factor within the algorithm. This factor will force a proportion of the allocations (1 in 5) to be a true randomisation rather than a minimised allocation.

6. TREATMENT ALLOCATIONS AND PREGNANCY MANAGEMENT

6.1. Trial treatment

Depending on the results of the randomisation, cerclage will be performed with either a monofilament or braided suture. Both types of suture used in C-STICH are standard surgical materials already in use. However, there are different brands of each suture material and whilst the trial does not specify which brand a surgeon should use, the use of Mersiline® and Ethilon is encouraged in order to standardise sutures as far as possible. The suture material used must be CE marked for this purpose. The most commonly used are Mersilene® a nonabsorbable, braided, sterile surgical suture composed of poly-ethylene terephthalate and Ethilon, a nonabsorbable, monofilament, sterile surgical suture composed of the long-chain aliphatic polymers Nylon 6 and Nylon 6,6.

The MHRA have confirmed that C-STICH is not classed as a device trial.

6.2. Cerclage technique

The technique of suture insertion (i.e. with or without bladder dissection) will be at the surgeon's discretion. To ensure the groups are balanced as evenly as possible, the proposed cerclage technique will be taken into account in the allocation of the type of suture used, so this must be decided before randomisation.

Where possible, a high vaginal swab should be taken at the time the cerclage is placed. Taking a swab is optional, however, where the swab is taken, it should be taken prior to any vaginal cleaning and preparation. Additionally, where a swab has been taken, the swab should be sent to the local microbiology department, where a Gram stain and aerobic and anaerobic culture should be undertaken. The presence or absence of a panel of potentially pathogenic microorganisms should be recorded on Case Report Form (CRF) 4, Microbiology Assessment. Microbiology Departments should follow their local SOPs when issuing clinical reports of results.

6.3. Other management at discretion of local clinicians

Apart from the trial treatments allocated at randomisation, all other aspects of PTB prevention management e.g. progesterone will be at the discretion of the care-providing clinician.

In rare instances, a second cerclage stich is required later in the pregnancy. In this case, the participant cannot be randomised a second time but should ideally be sutured with the same suture material as used in the initial cerclage. Please note, when placing additional sutures we do not request that you take a second high vaginal swab.

The pregnancy should be managed as per current usual practice for women with a cerclage *in situ*, with no other special treatments, no special investigations, and no extra follow-up visits outside those required clinically.

6.4. Withdrawal of treatment or protocol violation

Whilst a participant may voluntarily withdraw from this study at any time, it is impossible to change the allocated treatment once the cerclage procedure has been performed as it would be unsafe and unethical to remove and replace the suture thread.

Unless withdrawn from the study, if a participant does not return for a standard antenatal appointment, attempts will be made to contact her to collect pregnancy outcomes and adverse events. If a woman decides, after randomisation, she does not wish to have the cerclage, or the randomly allocated suture, she may withdraw herself from the trial treatment. The timing of randomisation as close as possible to the procedure should minimise the number of post-randomisation withdrawals or violations.

Clear distinction will be made as to whether a participant is withdrawing from the trial but will still be followed up on an intention-to-treat basis, or whether the participant refuses any follow-up. If a participant explicitly withdraws consent to have any further data recorded their decision will be respected and recorded. All communication surrounding the withdrawal will be noted in the patient's hospital records and trial database, and no further data will be collected for that participant.

Should a woman lose capacity to provide continued consent, they will be assumed to wish to remain in the C-STICH trial as there would be no further procedures or tests required for the trial.

6.5. Removal of cerclage suture

Planned removal of the suture would occur at 37 (± 1 week) weeks' gestation and the suture will be removed by the method the clinician feels most appropriate.

Where possible, upon removal, the suture should be sent to the local microbiology laboratory for aerobic and anaerobic culture. Doing the microbiology assessment is optional, however, where this has been done, the presence or absence of a panel of potentially pathogenic microorganisms should be recorded on CRF 4. Most Microbiology laboratories would not routinely process suture material, and we do not expect that it will be necessary to issue a clinical report of the culture result. Where a local laboratory chooses to do so, they should follow their local SOP for reporting of results.

6.6. Blinding of suture type at cerclage

The obstetrician performing the cerclage cannot be blinded to the nature of the thread used. However, we intend not to record the suture type in the hand-held maternity notes.

7. FOLLOW-UP AND OUTCOME MEASURES

7.1. Primary outcome measure

 Pregnancy loss rate (miscarriage and perinatal mortality, including any still birth or neonatal death in the first week of life)

7.2. Secondary outcome measures

7.2.1 Maternal

Gestation at delivery

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- Mode of initiation of labour
- Mode of delivery
- Adverse events: suture related cervical tears, chorioamnionitis, maternal pyrexia of 38°C, systemic infection requiring antibiotics (infection parameters based on Centre for Disease Control / National Healthcare Safety Network [CDC / NHSN] guidance)

7.2.2 Neonatal

- Late neonatal death, defined as a death beyond 7 days and before 28 days after delivery.
- Length of stay in neonatal unit (including level of care)
- Severe abnormality on cranial ultrasound scan
- Oxygen dependency at 36 weeks corrected gestation
- Necrotising enterocolitis (Bell's stage 2 or 3)
- Retinopathy of prematurity requiring laser treatment

7.2.3 Microbiological

Full cultures will be undertaken to identify the complete range of potentially pathogenic bacteria isolated from the suture, and high vaginal area. The likely significance of microorganisms isolated from each clinical sample will be assessed in the context of clinical evidence of infection in the mother and her baby.

8. DATA COLLECTION FORMS

Data for the purpose of assessing the efficacy and safety within the C-STICH trial will be collected from the clinical team responsible for the participants care on a number of data collection (case report) forms (CRFs). Data required for the primary and the majority of secondary outcomes are objective measures which are routinely collected for clinical purposes and will be transcribed from patient records.

The data collection forms should be completed in paper form and can either, be returned to the C-STICH Trial office to be entered centrally onto the online database, or they can be entered directly onto the database by those with on-line access. Either way, a copy of the completed paper form should be returned to the Trial Office. The patient's NHS and hospital number will be collected and both may be used in the process of collecting missing data.

8.1.1 Screening Log

The Screening Log is designed to collect basic details of all women approached, including those who are found to be ineligible and those that decline their invitation to participate. This should be kept up to date by the C-STICH research nurse.

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8.1.2 Randomisation Notepad

At the first clinic visit, the gynaecological and obstetric clinical history of the woman will be taken. Details of ultrasonographic assessments will be collected alongside basic demographic details. Key prognostic details should be recorded on the Randomisation Notepad (CRF 1) prior to randomisation as these will be needed for minimisation. This form also contains a checklist for eligibility.

8.1.3 Cerclage Placement

The local approved clinician should report details of the cerclage placement procedure using the Cerclage Placement Form (CRF 2), providing information to include: the taking of a microbiological swab, use of and type of antisepsis, technique used, the suture thread used, the number of "bites", the position of any knots, and use of any tocolytic agent.

8.1.4 Cerclage Removal

Please use the Cerclage Removal form (CRF 3) to record details of the removal of sutures. The Cerclage Removal form has been designed to also collect information about further cerclages that have been placed since placement of the initial randomly allocated cerclage.

8.1.5 Microbiology Assessment

The Microbiology Assessment form (CRF 4) should be used to record outcomes of microbiology assessments done on any swabs and suture thread/s taken. Please use a separate case report form to record microbiology outcomes for either the removed high vaginal swab and the removed suture/s.

8.1.6 Pregnancy Outcome

The Pregnancy Outcome form (CRF 5) should be used to record the the primary outcome and secondary maternal outcomes at the conclusion of the pregnancy.

8.1.7 Baby Outcome

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Please use the Baby Outcome form to record information about the baby's status at 28 days post-delivery. Only <u>complete this form where you recorded a 'live birth' on CRF 5, the Pregnancy Outcome form.</u>

Where information regarding the baby's status at 28 days post-delivery is not available from a site, the Trial Office will attempt to obtain it from the HSCIC using the babies NHS / CHI number, as described in Section 4.3.1 above.

8.1.8 Serious Adverse Event Reporting

The Serious Adverse Event Reporting Form (CRF 7) should be used to record details of SAEs that are defined and described in Section 9 below. The 'initial' SAE report should be followed up by a detailed 'follow-up' report as soon as further information becomes available.

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8.2. Source data

For the purposes of the C-STICH trial, source data comprises of:

- Clinical notes (paper and electronic)
- High vaginal swabs and the removed sutures or other material used for microbiological analysis.

8.3. Blinding of assessment

Whilst obstetricians cannot be blinded to the allocation, all attempts will be made to blind those collecting the outcomes to the type of suture used. The email confirmation of suture allocation will not be kept in the medical notes. The patient, microbiologists, neonatologists and other members of the clinical team responsible for the woman's care will be blinded to the allocation.

8.4. Health economic outcomes

In view of the similarity in prices of suture material used in the trial, the fact that the surgical procedure used for inserting both suture materials is identical, and the high cost of care of preterm babies, any difference in pregnancy loss rate is going to dominate an economic evaluation. However, the primary and secondary outcomes collected will provide enough information to assess any potential cost saving of one type of suture material over the other if a difference is identified.

9. SAFETY MONITORING PROCEDURES

There may be unexpected serious adverse reactions associated with monofilament or braided sutures when used in cervical cerclage. Monofilament or braided sutures have been used to treat cervical cerclage for many years and there is no reason to believe there are adverse biochemical reactions intrinsic to the material of the suture thread, but there may be adverse events arising from the biomechanical properties of the thread. There are also known adverse events of cerclage irrespective of suture material used.

This protocol distinguishes adverse events from outcomes.

It is the responsibility of investigators to notify serious adverse events to the C-STICH Trial Office, who will forward these to the sponsor. It is the remit of the sponsor to report to the ethics committee. It is therefore imperative that all investigators have a thorough understanding of anticipated serious adverse events and the reporting process of these events.

9.1. General Definitions

Adverse Events (AE)

An AE is:

· Any unintentional, unfavourable clinical sign or symptom. This will include complications of cervical cerclage, namely:

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- Severe cervical lacerations at time of procedure.
- Any new illness or infection or the deterioration of existing disease or illness
- Any clinically relevant deterioration in any laboratory assessments or clinical tests, for example continued shortening of the cervix or dilatation.

The following are not AEs:

- A pre-existing condition (unless it worsens significantly during pregnancy).
- Diagnostic and therapeutic procedures, such as removal of the cerclage stitch or repeated ultrasound assessments.

Expected adverse events from cerclage include:

- Cervical laceration or amputation at delivery from scar tissue that forms on the cervix.
- Bladder injury as a result of the cerclage procedure
- Cervical dystocia, where the cervix fails to dilate during labour

Serious Adverse Events (SAEs)

An SAE is an untoward event which:

- Results in death
- Immediately threatens the life of participant*
- Results in hospitalisation or a longer than anticipated stay in hospital
- Results in a persistent or significant disability

*Life-threatening in the definition of a serious adverse event refers to an event in which the mother was at risk of death at the time of the event. It does not refer to an event which hypothetically might have caused death if it were more severe. Important adverse events that are not immediately life-threatening or do not result in death or hospitalisation, but may jeopardise the pregnancy or may require intervention to prevent one of the other outcomes listed in the definition above, should also be considered serious.

As cerclage is performed after organogenesis, any congenital anomalies are not attributable to the trial intervention and are not considered an SAE.

Events NOT considered to be SAEs are hospitalisations for:

- routine monitoring or removal of cervical cerclage more than 48 hours after the procedure
- treatment, which was elective or pre-planned, for a pre-existing condition that is unrelated to the pregnancy
- admission to a hospital for delivery of the baby
- management of a premature baby

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Expected SAEs

Expected SAEs also include, but are not limited to, the following:

- Premature rupture of membranes within 48 hours of the procedure
- Infection of the amniotic sac (chorioamnionitis) requiring intravenous antibiotics.
- Preterm labour or miscarriage within 24 hours of cerclage.
- Other conditions threatening the life of the mother

A miscarriage, preterm delivery or neonatal death 48 hours after the cerclage procedure will be considered an outcome and not an adverse event, and should be reported according to Section 8.1.7 (Pregnancy outcome form).

9.2. AEs

Non-serious adverse reactions or events are not required to be reported to the Trials Office.

9.3. Reporting SAEs

All SAEs, from the day of the cerclage procedure until 28 days after the birth of the baby, or until the baby is discharged from hospital care (whichever arrives first), whether observed directly or reported by the patient, must be recorded on CRF 7 and faxed to BCTU on 0121 415 9136 within 24 hours of the research staff becoming aware of the event. The Principal Investigator (or other nominated clinician) is required to assign seriousness, causality and expectedness to the SAE before reporting. All SAEs should be assessed for seriousness, causality and expectedness.

For each SAE, the following information will be collected:

- full details in medical terms with a diagnosis, if possible
- its duration (start and end dates; times, if applicable)
- action taken

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- outcome
- causality, in the opinion of the investigator*
- whether the event would be considered expected or unexpected* (using the principles described above)

*Assessment of causality and expectedness must be made by a clinician. If a clinician is unavailable, initial reports without causality and expectedness assessment should be submitted to BCTU by a healthcare professional within 24 hours, but must be followed up by medical assessment as soon as possible thereafter, ideally within the following 24 hours.

The local investigator and others responsible for patient care should institute any supplementary investigations of SAEs based on their clinical judgement of the likely causative factors and provide further follow-up information as soon as available.

SAEs still present beyond 28 days post-partum must be followed up until the final outcome is determined.

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BCTU will report all SAEs to the DMEC following a timetable agreed by the DMEC prior to study commencement. The DMEC will review these open, unblinded data for safety. BCTU will also report all SAEs to the main REC annually, and to the Trial Steering Committee, blinded to treatment allocation following a timetable agreed by the TSC prior to study commencement. Local Investigators are responsible for reporting SAEs to their host institution, according to local regulations, but they do not need to inform the main REC as this will be done by BCTU as detailed above.

9.4. Notification of deaths

All maternal deaths will be reported to BCTU on the SAE Form irrespective of whether the death is related to pregnancy, the cerclage procedure, or an unrelated event. If a participant dies, any post-mortem findings must be provided to BCTU. BCTU will report all deaths to the DMEC for continuous safety review.

All miscarriages, stillbirths and neonatal deaths to 28 days post-partum are outcomes and should be reported as such. Miscarriages, still births or neonatal deaths within 48 hours of the cerclage procedure should also be reported as SAEs.

9.5. Safety reporting responsibilities

Local Principal Investigator (or nominated individual in PI's absence):

- To record <u>all</u> SAEs that occur in the women taking part in the trial. This includes serious, expected or unexpected adverse events, unless defined as outcomes above.
- Medical judgement in assigning expectedness and causality to SAEs.
- To fax SAE forms to BCTU within 24 hours of becoming aware, and to provide further follow-up information as soon as available.
- To report SAEs to local committees if required, in line with local arrangements.
- To sign an Investigator's Agreement accepting these responsibilities.

Chief Investigator (or nominated individual in Cl's absence):

- To assign causality and expected nature of SAEs where it has not been possible to obtain local assessment.
- To review all events assessed as SAEs in the opinion of the local investigator for causality and expectedness

Birmingham Clinical Trials Unit:

- To prepare annual safety reports to the main REC and TSC.
- To prepare SAE safety reports for the DMEC following a timetable agreed by the DMEC prior to study commencement, or as requested by the DMEC.
- To report all fatal SAEs to the DMEC for continuous safety review.

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Trial Steering Committee (TSC):

- To provide independent supervision of the scientific and ethical conduct of the trial on behalf of the Trial Sponsor and funding bodies.
- To review data, protocol deviations, outcome capture rates, adverse events (during treatment and up to the end of follow-up).
- To receive and consider any recommendations from the DMEC on protocol modifications.

Data Monitoring & Ethics Committee (DMEC):

- To review (initially at approximately six-monthly intervals) overall safety and morbidity data to identify safety issues which may not be apparent on an individual case basis.
- To recommend to the TSC whether the trial should continue unchanged, continue with protocol modifications, or stop.

10. DATA MANAGEMENT

REC: Cambridgeshire and Hertfordshire

10.1. Clinical Data

Data from the Case Report forms described in Section 8 should be entered into the secure online C-STICH database as soon as possible after collection by the research nurse, investigator or microbiologist. These clinical personnel will be allocated personal usernames and passwords that will only allow access to entry forms for the trial participants who are being treated at their site. Alternatively, paper forms can be sent to the C-STICH Trial Office for central input.

Data validation is built into the online database. Range, date and logic checks are performed at the point of data entry. Email reminders will be sent to the research nurses for missing data forms, missing data or data inconsistencies.

10.2. Embedded microbiological sub-study

10.2.1 Specimen identification, processing and storage

High vaginal swabs will be delivered to each participating hospital's local diagnostic microbiology laboratory where they will be processed in accordance with local standard operating procedures for routine processing of swabs or tissue. CRF 4 which collects data on the microbiology assessment includes a list of microorganisms that Microbiology Departments will be expected to identify in samples; this will ensure that there is consistency between centres in reporting the presence of bacteria that are not unequivocal pathogens.

Most laboratories would not routinely process suture materials. Therefore, laboratories should be asked to place the suture in 3-5 mL of sterile 0.9% saline. After sonication, 0.1 mL volumes should be cultured on appropriate agar plates for culture for aerobic and anaerobic bacteria and fungi.

10.2.2 Quality Assurance of Microbiological Assessments

We will confirm that all contributing microbiology laboratories participate in an external quality assurance scheme and are accredited by CPA (UK) Ltd., UKAS, or another equivalent body.

10.2.3 Long-term storage of data

Archiving will be authorised by the BCTU on behalf of the Sponsor following submission of the end of trial report.

Principal Investigators are responsible for the secure archiving of essential trial documents for their site, according to the local policy at that site. All essential documents will be archived for a minimum of 21 years after completion of trial.

10.3. Definition of the End of Trial

The study will be deemed complete when the last recruited woman has delivered and, at least 28 days have elapsed.

11. ACCRUAL AND ANALYSIS

11.1. Sample size

REC: Cambridgeshire and Hertfordshire

The sample size for C-STICH is informed by our meta-analysis (section 1.5) with some allowance made for the fact that this evidence is non-randomised. Here, the pregnancy loss rate was 7.1% with monofilament sutures compared to 19% with braided sutures, a reduction of 66% (RR: 0.34, 95%CI: 0.18 to 0.63; figure 1). A total sample of 326 women would be enough to detect a difference of this size (with 90% power and p=0.05), but we have inflated this to a total sample target of 900 (gaining full outcome data on 878) which will enable us to detect a more plausible relative reduction of 41% (19% with braided to 11.2% with monofilament) with 90% power (p=0.05).

If the control rate of pregnancy loss in the braided group is lower than 19% then we still have reasonable power to detect this same relative difference of 41% provided the rate of pregnancy loss is at least 11% (Table 2)

Table 2 Power calculation for C-STICH for various levels of pregnancy loss rate in the primary outcome.

| For a relative reduction of 41% (n=878) | | | | |
|---|-----------------------|-------------------------|------|-------------------|
| Rate in monofilament group | Rate in braided group | Absolute risk reduction | NNT | Power (p=0.05) |
| 0.112 | 0.190 | 0.078 | 12.8 | 90% |
| 0.100 | 0.170 | 0.070 | 14.3 | 86% |
| 0.088 | 0.150 | 0.062 | 16.1 | 81% |
| 0.077 | 0.130 | 0.053 | 18.9 | 73% |
| 0.065 | 0.110 | 0.045 | 22.2 | 66% |
| 0.053 | 0.090 | 0.037 | 27.0 | 57% |

The control group rate of pregnancy loss will be monitored throughout the pilot and full study in conjunction with the DMEC to see how this may affect the sample size calculations. The DMEC will be given the remit of advising if our sample size would need to be altered based on this information.

11.2. Projected accrual and attrition rates

Hospital Episode Statistics data indicates that there are about 1950 procedures performed in England each year, from which you could extrapolate to estimate 2300 women per year in the UK as a whole undergo cerclage. Assuming 25% of these are ineligible, and assuming only 60% of the eligible women are approached and 50% of those consent, it is feasible to recruit 900 women in 30 months.

To account for a realistic staged trial set up in participating units and to mitigate the risk of any unexpected delays or barriers to recruitment, recruitment will proceed over 30 months. There will be an internal pilot of 9 months, recruiting from 8 lead centres, which are expected to recruit 2 participants per month each. If the pilot is successful, C-STICH would expand to recruit from at least 24 more centres and would require these centres each to recruit an average of 0.75 participants per month (8 women per year).

11.3. Statistical Analysis

The analysis will be by intention to treat. Every attempt will be made to gather data on all women randomised and their babies, irrespective of compliance with the treatment protocol. Point estimates, 95% confidence intervals and *p*-values from two-sided tests will be calculated. A comprehensive Statistical Analysis Plan will be drawn up prior to any analysis and provided to the Independent Data Monitoring Committee (DMEC) for review.

11.3.1 Primary analysis

We will use a log-binomial regression model to calculate the relative risk and 95% confidence of the primary outcome (pregnancy loss defined as miscarriage or perinatal mortality). Minimisation variables (see section 5.1) will be included in the model as covariates. The statistical significance of the treatment group variable will be determined by an associated chi-squared test.

11.3.2 Secondary analysis

REC: Cambridgeshire and Hertfordshire

Dichotomous secondary outcomes (e.g. infection, cervical tears, late neonatal death) will be analysed in the same fashion as the primary outcome. Time from conception to delivery and randomisation to delivery (censoring for pregnancy loss) will be analysed by log-rank test with a Cox Proportional Hazard (PH) model built if the assumptions of proportionality are met. Standard methods will be used to analyse other outcome (e.g. chi-squared test for mode of delivery). Appropriate summary statistics split by group will be presented for each outcome (e.g. proportions/percentages, mean/standard deviation or median/interquartile range).

11.3.3 Sub-group analyses and missing data

Subgroup analyses will be limited to the same variables which were used as minimisation variables (listed in section 5.1). Tests for statistical heterogeneity (e.g. by including treatment group by subgroup interaction parameter in the regression model) will be performed prior to any examination of effect estimate within subgroups. Sensitivity analyses will be performed on the primary outcome to investigate the impact of any missing data, e.g. assuming pregnancy loss for missing outcomes. Methods using multiple imputation (MI) will also be incorporated.

11.3.4 Timing of assessments

An interim report including the analysis of major endpoints will be provided in strict confidence to a Data Monitoring and Ethics Committee at intervals of at least 12 months, or to a timetable agreed by the DMEC prior to study commencement (see Section 12.5 for further details on trial data monitoring including the use of pragmatic stopping criteria). Final analysis will be performed once all live babies have reached 28 days of life and the database has been cleaned and locked.

12. DATA ACCESS AND QUALITY ASSURANCE

12.1. Confidentiality of personal data

Personal and sensitive data will be collected directly from trial participants' hospital notes. Participants will be informed about the transfer of this information to the C-STICH Study Office at BCTU and asked for their consent. With the patient's consent, their initials, date of birth, National Health Service (NHS) or Community Health Index (CHI) number of both mother and baby, and Hospital number will be securely stored on the trial database. This will enable tracing of women who deliver in a different hospital.

Patients will be identified using only their unique trial number to verify identify on the data collection forms and in any correspondence between the C-STICH Study Office and the participating site.

Consent forms will be collected by the C-STICH Study Office and stored securely in the Trial Master File (TMF). These forms will be available to various regulatory bodies for inspection upon request.

Data collected will be entered onto a secure computer database, either directly by the local site via the internet using secure socket layer (SSL) encryption technology, or indirectly from paper forms by C-STICH study office staff. Access control will ensure that local trials staff will only be able to view information relating to participants at their site.

All personal information received in a paper format for the trial will be held securely in locked filing cabinets in a safe haven office and treated as strictly confidential according to BCTU policies.

All staff involved in the C-STICH study, be they clinical, academic, or employees of BCTU, share the same duty of care to prevent unauthorised disclosure of personal information. No data that could be used to identify an individual will be published. Personal data recorded on all documents will be regarded as strictly confidential and will be handled and stored in accordance with the Data Protection Act 1998 and any amendments.

12.2. In-house Data Quality Assurance

12.2.1 Monitoring and Audit

This study may be monitored to ensure compliance with GCP. A risk proportionate approach to the initiation, management and monitoring of the study will be adopted and outlined in the study-specific risk assessment.

12.2.2 Direct Access to Source Data

Investigators and their host Trusts will be required to permit trial-related monitoring and audits to take place by the C-STICH Trial Coordinator and sponsor representative, providing direct access to source data and documents as requested. The trial site may also be subject to audit by the Research and Development Manager of their own Trust, or monitoring by the sponsor, and should do everything requested by the Chief Investigator in order to prepare and contribute to any inspection or audit. Trial participants will be made aware of the possibility of external audit of data they provide in the participant information sheet.

12.2.3 Statistical monitoring throughout the trial

The study will also adopt a centralised approach to monitoring data quality and compliance. A computer database will be constructed specifically for the trial data and will include range and logic checks to prevent erroneous data entry. Independent checking of data entry will be periodically undertaken on small sub-samples. The trial statistician will regularly check the balance of allocations by the stratification variables.

12.3. Definition of a serious breech

A serious breach is that which is likely to effect to a significant degree:

- 1. The safety or physical or mental integrity of the participants of the trial; or
- 2. The scientific value of the trial.

If a potential serious breach is identified by the Chief investigator, Principal Investigator or BCTU, the C-STICH Trial Office must be notified within 24 hours. It is the responsibility of the Chief Investigator to determine whether the incident constitutes a serious breach and if so, to assess the impact of the breach on the scientific value of the trial. BCTU will report serious breaches to the sponsor and to the Research Ethics Committee as necessary.

12.4. Trial Steering Committee

REC: Cambridgeshire and Hertfordshire

The TSC provides independent supervision for the trial, providing advice to the Chief and Co-Investigators and the sponsor on all aspects of the trial and affording protection for patients by ensuring the trial is conducted according to the guidelines for Good Clinical Practice.

If the Chief and Co-Investigators are unable to resolve any concern satisfactorily, Principal Investigators, and all others associated with the study may write, through the Trial Office, to the chairman of the TSC drawing attention to any concerns they may have about the possibility of

particular side-effects, of particular categories of patient requiring special study, or any other matters thought relevant.

12.5. Data Monitoring and Ethics Committee: determining when clear answers have emerged

If one treatment really is substantially better or worse than any other with respect to the primary outcome, then this may become apparent before the target recruitment has been reached. Alternatively, new evidence might emerge from other sources that any one treatment is definitely more, or less, effective than any other. To protect against this, during the main period of recruitment to the study, interim analyses of the primary outcome and adverse events will be supplied, in strict confidence, to an independent Data Monitoring and Ethics Committee (DMEC) along with updates on results of other related studies, and any other analyses that the DMEC may request. The DMEC will advise the chair of the TSC if, in their view, any of the randomised comparisons in the trial have provided both (a) "proof beyond reasonable doubt" that for all, or for some, types of patient one particular treatment is definitely indicated or definitely contraindicated in terms of a net difference in the major endpoints, and (b) evidence that might reasonably be expected to influence the patient management of many clinicians who are already aware of the other main trial results. The TSC can then decide whether to close or modify any part of the trial. Unless this happens, however, the TMG, TSC, the investigators and all of the central administrative staff (except the statisticians who supply the confidential analyses) will remain unaware of the interim results.

The BCTU Trial office will forward open DMEC meeting minutes to the Sponsor and Funding Body.

Appropriate criteria of proof beyond reasonable doubt cannot be specified precisely, but a difference of at least p<0.001 (similar to a Haybittle-Peto stopping boundary) in an interim analysis of a major endpoint may be needed to justify halting, or modifying, the study prematurely. If this criterion were to be adopted, it would have the practical advantage that the exact number of interim analyses would be of little importance, so no fixed schedule is proposed.

12.6. Long-term storage of data

REC: Cambridgeshire and Hertfordshire

Archiving will be authorised by BCTU on behalf of the Sponsor following submission of the end of trial report. Destruction of essential documents will require authorisation from the BCTU on behalf of the Sponsor.

Principal Investigators are responsible for the secure archiving of essential trial documents for their site, according to the local policy at that site. All essential documents will be archived for a minimum of 5 years after completion of trial. Destruction of essential documents will require authorisation from BCTU on behalf of the Sponsor.

Trial data will be stored under controlled conditions for at least 3 years after closure. This will allow adequate time for review and reappraisal, and in particular with the C-STICH trial, form the basis for further follow-up research. Any queries or concerns about the data, conduct or conclusions of the trial can also be resolved in this time. Long-term offsite data archiving facilities will be considered for storage after this time. BCTU has standard processes for both hard copy and computer database legacy archiving, including anonymisation of trial data.

13. ORGANISATION AND RESPONSIBILITIES

To ensure the smooth running of the trial and to minimise the overall procedural workload, it is proposed that each participating centre should designate individuals who would be chiefly responsible for local co-ordination of clinical and administrative aspects of the trial.

All investigators are responsible for ensuring that any research they undertake follows the agreed protocol, for helping care professionals to ensure that participants receive appropriate care while involved in research, for protecting the integrity and confidentiality of clinical and other records and data generated by the research, and for reporting any failures in these respects, adverse reactions and other events or suspected misconduct through the appropriate systems.

13.1. Centre eligibility

Centres will be eligible to participate in C-STICH if they routinely perform cervical cerclage with or without bladder dissection, are prepared to randomise between monofilament and braided cerclage sutures, and have microbiology facilities which are able to perform cultures and bacterial profiles from swabs and sutures.

13.2. Local Co-ordinator at each centre

Each Centre should nominate an obstetrician to act as the local Principal Investigator and bear responsibility for the conduct of research at their centre. Close collaboration between all clinical teams is particularly important in C-STICH in order that patients for whom cervical cerclage is an appropriate treatment option can be identified sufficiently early for inclusion in the trial.

The local Principal Investigator is responsible for the overall conduct of the study at the site and to ensure compliance with the protocol and any amendments. In accordance with the principles of International Committee on Harmonisation Good Clinical Practice Guidelines (ICH GCP) the following areas listed in this section are also the responsibility of each Investigator. Responsibilities may be delegated to an appropriate member of study site staff. Delegated tasks must be documented on a Delegation Log and signed by all those named on the list prior to undertaking applicable study-related procedures.

- to ensure that all medical and nursing staff involved in the care of women deemed at risk of cervical insufficiency are well informed about the study and trained in trial procedures
- to ensure written informed consent is obtained before randomisation
- to designate or recruit a C-STICH research nurse
- to be responsible for the quality of data recorded in the data collection forms at their site
- to maintain their site's Investigator Site File

REC: Cambridgeshire and Hertfordshire

- to sign the Investigator's Declaration in the Clinical Study Site Agreement
- to ensure all study staff hold evidence of appropriate GCP training
- to ensure confidentiality of all trial data collected
- to report to the C-STICH Trial Office all SAEs in a timely manner
- to report any protocol violations and suspected serious breaches to the C-STICH trial office

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13.3. Research Nurse at each centre

Each participating centre should also designate one nurse as local Nursing Coordinator. This person would be responsible for ensuring that all eligible patients are considered for the study, that patients are provided with study information sheets, and have an opportunity to discuss the study if required. The nurse may be responsible for collecting the baseline patient data and for administering the follow-up evaluations. This person would be sent updates and newsletters, and would be invited to training and progress meetings.

13.4. The C-STICH Trials Office at BCTU

The C-STICH Trial Office at BCTU is responsible for providing all trial documentation, including the trial folders containing printed documents and the update slides. These will be supplied to each collaborating centre after all relevant approvals have been obtained. Additional supplies of any printed material can be obtained on request. The Trial Office also provides the central randomisation service and is responsible for collection and checking of data (including reports of serious adverse events thought to be due to trial treatment), for reporting of serious and unexpected adverse events to the sponsor and/ or regulatory authorities and for analyses. The Trial Office will help resolve any local problems that may be encountered in trial participation.

13.5. Research Governance

The conduct of the trial will be according to the principles of the International Committee on Harmonisation, Good Clinical Practice Guidelines (ICH GCP).

All centres will be required to sign an Investigator's Agreement, detailing their commitment to accrual, compliance, Good Clinical Practice, confidentiality and publication. Deviations from the agreement will be monitored and the TSC will decide whether any action needs to be taken, e.g. withdrawal of funding, suspension of centre.

The Trial Office will ensure researchers not employed by an NHS organisation hold an NHS honorary contract for that organisation.

13.6. Regulatory and Ethical Approval

REC: Cambridgeshire and Hertfordshire

13.6.1 Ethical and Trust Management Approval

The Trial has a favourable ethical opinion from Cambridgeshire and Hertfordshire Multi-centre Research Ethics Committee (MREC), confirming that the trial design respects the rights, safety and wellbeing of the participants.

The Local Comprehensive Research Network will conduct governance checks and assess the facilities and resources needed to run the trial, in order to give host site permission. The Trial Office is able to help the local Principal Investigator in the process of the site specific assessment by completing much of Site Specific Information section of the standard IRAS form as possible. The local Principal Investigator will be responsible for liaison with the Trust management with respect to locality issues and obtaining the necessary signatures at their Trust.

As soon as Trust approval has been obtained, the Trial Office will send a folder containing all trial materials to the local Principal Investigator. Potential trial participants can then start to be approached.

Within 90 days after the end of the study, the Chief Investigator, on behalf of the Sponsor, will ensure that the MREC is notified that the study has finished. If the study is terminated prematurely, those reports will be made within 15 days after the end of the study.

The Chief Investigator will supply the Sponsor with a summary report of the clinical study, which will then be submitted to the MREC within one year after the end of the study.

13.7. Funding and Cost implications

The research costs of the trial are funded by a grant from the NIHR Health Technology Assessment Programme awarded to the BWNFT.

The trial has been designed to minimise extra 'service support' costs for participating hospitals, with no extra visits to hospital and no extra tests. Additional costs service support costs associated with the trial, e.g. identifying potential participants, gaining consent, are estimated in the Site Specific Information section of the standard IRAS form. These costs should be met by accessing the Trust's Support for Science budget via the Local Comprehensive Research Network.

13.8. Indemnity

This is a clinician-initiated study. The Sponsor (the BWNFT) holds the relevant insurance for Clinical Trials (negligent harm). Participants may be able to claim compensation, if they can prove that the BWNFT has been negligent. However, as this clinical trial is being carried out in a hospital setting, NHS Trust and Non-Trust Hospitals have a duty of care to the patients being treated. Compensation is only available via NHS indemnity in the event of clinical negligence being proven. Participants who sustain injury and wish to make a claim for compensation should do so in writing in the first instance to the CI, who will pass the claim to the Sponsor's Insurers, via the Sponsor's office. There are no specific arrangements for compensation made in respect of any SAE occurring though participation in the trial, whether from the side effects listed, or others yet unforeseen.

Hospitals selected to participate in this trial shall provide clinical negligence insurance cover for harm caused by their employees and a copy of the relevant insurance policy or summary should be provided to BWNFT, upon request.

14. REPORTING, PUBLICATIONS AND NOTIFICATION OF RESULTS

14.1. AUTHORSHIP POLICY

Ownership of the data arising from this study resides with the grant holders. On completion of the study, the study data will be analysed and tabulated, and a final study report prepared for the NIHR. A writing committee may be established to prepare the report and any subsequent papers.

The main report of the trial will be published in the name of the C-STICH Collaborative Group, acknowledging the writing group as authors. Subsequent publications should also be published in the C-STICH Collaborative Group name, but those academics who contribute to specific aspects may be listed as authors.

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14.2. PUBLICATION

A meeting will be held after the end of the study to allow discussion of the main results among the collaborators prior to publication. The success of the study depends entirely on the wholehearted collaboration of a large number of clinicians, nurses and others. For this reason, chief credit for the main results will be given not to the committees or central organisers but to all those who have collaborated in the study. Centres will be permitted to publish data obtained from participants in the C-STICH Trial that use Trial outcome measures but do not relate to the trial randomised evaluation and hypothesis.

14.3. Ancillary studies

It is requested that any proposals for formal additional studies of the effects of the trial treatments on some patients (e.g. special investigations in selected hospitals) be referred to the Trial Management Group for consideration. In general, it would be preferable for the trial to be kept as simple as possible, and add-on studies will need to be fully justified.

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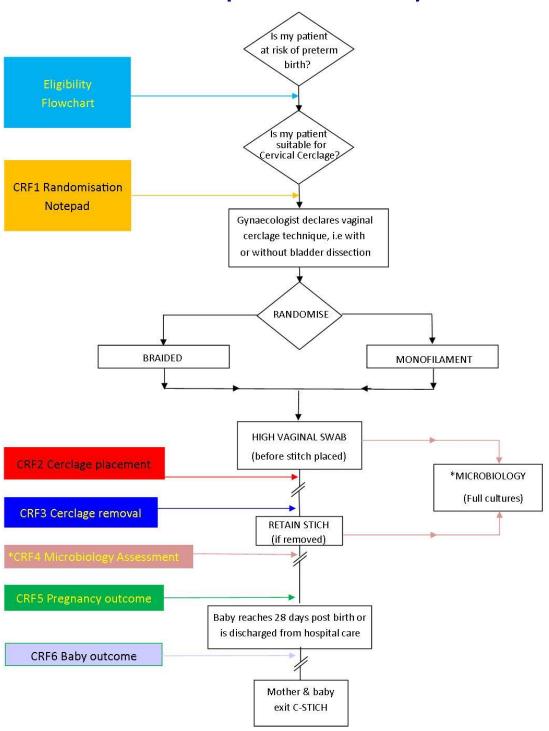
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REC: Cambridgehsire and Hertfordshire

The Cerclage Suture Type for an Insufficient Cervix and its effect on Health outcomes (C-STICH)

Example Patient Pathway



Patient Pathway. Version 1.2. 10 April 2015

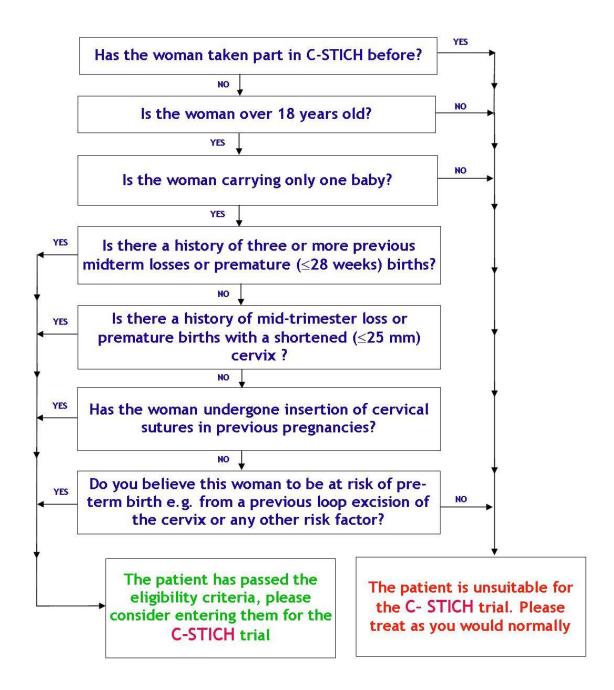
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Cerclage Suture Type for an Insufficient Cervix and its effect on Health outcomes (C-STICH)

Is my patient suitable for C-STICH?

The flowchart below will quickly let you know if your patient is eligible for the C-STICH trial.



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REC: Cambridgehsire and Hertfordshire Version 2.1, Date 04th Aug 2015