



SERIOUS ADVERSE EVENT FORM

To be completed for any serious adverse events occurring within the protocol-defined reporting period.

PART A - Site Details

Site Name: _____ Name of PI: _____

PART B - Patient Details

Patient Initials: First, Middle, Last Patient DOB: e.g. 31-JAN-2017 - -

TRIAL ID:

PART C - Report Type

(Use BCTU allocated unique SAE number if this is a follow-up or final report)

Report type: ☐ Initial Report ☐ Follow-up Report ☐ Final Report If 'Follow-up' or 'Final', please insert unique SAE number:

PART D - Event Information

Signs and symptoms: _____

PART E - Event Diagnosis

Diagnosis: _____ Event Severity: ☐ Mild ☐ Moderate ☐ Severe

PART F - Investigations and Treatment

Investigations, results/ findings and interventions/ treatment: _____

PART G - Seriousness of Event

Death: Tick one ☐ No ☐ Yes

If Yes, date of death: e.g. 31-JAN-2017 - - If Yes, cause of death: _____

Life Threatening Event (Please tick one) ☐ No ☐ Yes

In-patient hospitalisation or prolongation of existing hospitalisation: (Please tick one) ☐ No ☐ Yes

If Yes, Initial or Prolonged? (Please tick one) ☐ Initial ☐ Prolonged

If Initial, date of admission: e.g. 31-JAN-2017 - - Date of discharge: e.g. 31-JAN-2017 - -

Persistent or Significant Disability/Incapacity: (Please tick one) ☐ No ☐ Yes

Other Medical Reason For Reporting: (Please tick one) ☐ No ☐ Yes

If Yes, Please specify: _____

Part H - Details of Event

Date of onset: <u> D </u> <u> D </u> - <u> M </u> <u> M </u> <u> M </u> - <u> Y </u> <u> Y </u> <u> Y </u> <u> Y </u>	Date became serious: <u> D </u> <u> D </u> - <u> M </u> <u> M </u> <u> M </u> - <u> Y </u> <u> Y </u> <u> Y </u> <u> Y </u>
Date site became aware: <u> D </u> <u> D </u> - <u> M </u> <u> M </u> <u> M </u> - <u> Y </u> <u> Y </u> <u> Y </u> <u> Y </u>	
Is event Ongoing: <i>Tick one</i> <input type="radio"/> No <input type="radio"/> Yes	If 'No', Date Resolved: <u> D </u> <u> D </u> - <u> M </u> <u> M </u> <u> M </u> - <u> Y </u> <u> Y </u> <u> Y </u> <u> Y </u>

PART I - Causality Assessment

Causal relationship of the event to the trial intervention: *(Please select **one** category)*
☐ Unrelated
☐ Unlikely to be related
☐ Possibly related
☐ Probably related
☐ Definitely related

As ROSSINI 2 is a non - CTIMP, BCTU will only be collecting Related and Unexpected SAEs.

A Related and Unexpected Serious Adverse Event (RUSAE) means a SAE occurring to a research participant which in the opinion of the Principle Investigator was: - '**Related**' that is, it resulted from the administration of any of the research procedures, and - '**Unexpected**' that is, the type of event is not listed in the protocol as an expected occurrence.

List any underlying comorbidities, concomitant medications or investigations etc. that may be relevant: *(Where investigations or lab tests are appended, please ensure patient identifiers are replaced with trial number only)*

PART J - Details of Person Reporting

Name of Person Reporting: _____	
Signature of Person Reporting: <i>Must appear on delegation log</i>	Date of Signature: <i>e.g. 31-JAN-2017</i> <u> D </u> <u> D </u> - <u> M </u> <u> M </u> <u> M </u> - <u> Y </u> <u> Y </u> <u> Y </u> <u> Y </u>
Job Title of Person Reporting: _____	Date Reported: <i>e.g. 31-JAN-2017</i> <u> D </u> <u> D </u> - <u> M </u> <u> M </u> <u> M </u> - <u> Y </u> <u> Y </u> <u> Y </u> <u> Y </u>
Name of Principal Investigator or Medically Qualified Delegate: _____	
Signature of Principal Investigator or Medically Qualified Delegate: _____	Date of PI/Delegate Signature: <i>e.g. 31-JAN-2017</i> <u> D </u> <u> D </u> - <u> M </u> <u> M </u> <u> M </u> - <u> Y </u> <u> Y </u> <u> Y </u> <u> Y </u>

Please **report within 24 hours** any SERIOUS ADVERSE EVENTS by completing the pages 1 and 2 of this form and faxing or emailing them to the ROSSINI 2 Trial Office: 0121 415 8871 or ROSSINI2@trials.bham.ac.uk Once you have sent the form and the event is resolved, please send the ORIGINALS (with copies of any relevant reports) to **ROSSINI 2 Trial Office, University of Birmingham Clinical Trials Unit, Public Health Building, University of Birmingham, Edgbaston, Birmingham, B15 2TT**

PART K - To Be Completed By Chief Investigator or Named Delegate

Review of relatedness to the trial intervention by Chief Investigator or delegate: *(Please tick one)*☐ Unrelated ☐ Unlikely to be related ☐ Possibly related ☐ Probably related ☐ Definitely related

Assessment of expectedness with reference to the Protocol by Chief Investigator or delegate:

☐ Expected ☐ Unexpected

PART L - Signatures

In signing this form the Investigator or delegate confirms the **Causality** and **Expectedness** of the event.

Name of CI or Delegate:

Signature of CI or Delegate:

Date of CI or Delegate Signature: *e.g. 31-JAN-2017* D D - M M M - Y Y Y Y

PART M - Classification of Event

System Organ Classification (SOC) - please indicate the event classification below: (please tick all that apply)

Infections & infestations <input type="checkbox"/>	Ear & labyrinth disorders <input type="checkbox"/>	Vascular disorders <input type="checkbox"/>
Eye disorders <input type="checkbox"/>	Nervous system disorders <input type="checkbox"/>	Congenital, familial & genetic disorders <input type="checkbox"/>
Blood & lymphatic system disorders <input type="checkbox"/>	Skin & subcutaneous tissue disorders <input type="checkbox"/>	Social circumstances <input type="checkbox"/>
Immune system disorders <input type="checkbox"/>	Renal & urinary disorders <input type="checkbox"/>	Investigations <input type="checkbox"/>
Endocrine disorders <input type="checkbox"/>	Gastrointestinal disorders <input type="checkbox"/>	Surgical & medical procedures <input type="checkbox"/>
Metabolism and nutrition disorders <input type="checkbox"/>	Hepatobiliary disorders <input type="checkbox"/>	Cardiac disorders <input type="checkbox"/>
Psychiatric disorders <input type="checkbox"/>	Reproductive system & breast disorders <input type="checkbox"/>	Injury, poisoning & procedural complications <input type="checkbox"/>
Respiratory, thoracic & mediastinal disorders <input type="checkbox"/>	Pregnancy, puerperium & perinatal conditions <input type="checkbox"/>	General disorders & administration site conditions <input type="checkbox"/>
Neoplasms benign, malignant & unspecified (incl. cysts & polyps) <input type="checkbox"/>	Musculoskeletal & connective tissue disorders <input type="checkbox"/>	Other <input type="checkbox"/>

If Other, please specify:

Please provide the sub-classification of System Organ Classification of event (detailed above).

Part N - Clavien - Dindo - Please indicate the classification below:

1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
N/A <input type="checkbox"/>				

Section 1 - Office Use Only

Event categorised as: *(Please tick one)*

- ☐ Expedited SAE which is Related and Unexpected
☐ Expedited SAE
☐ Non-expedited SAE
☐ Non-SAE (downgraded to an AE following review)
☐ Non-SAE (e.g reported in error)

SAE Reference Number: Date Reported to REC: *e.g. 31-JAN-2017* D D - M M M - Y Y Y Y

Date Reported to Sponsor: e.g. 31-JAN-2017 D D - M M M - Y Y Y Y